

CERES Clouds Working Group Report



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B. Scarino (*cal, Tskin, GEO*), F-L. Chang (*CO2, corrk*), D. Spangenberg (*everything*),
Cecilia Wang (*machine learning*), M. Nordeen (*GEO*), B. Shan (*GEO*), T. Chee (*IT*),
E. Heckert (*web*), Chungwei Chu (*web*), R. Smith (*proc.*), R. Brown (*QC*)
A. DiNorscia (*satellite sounding*)

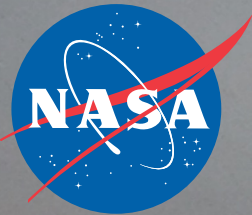
SSAI, Hampton, VA

L. Nguyen (*IT lead, GEO*), *NASA Langley Research Center*

P. Heck (*retrieval code*), *CIMSS, UW-Madison*

P. Yang (*ice models*), *Texas A& M University*

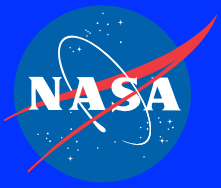
Thanks to Dave Doelling and his TISA/calibration teams!



Topics



- **Data processing status**
- **Ed5 cloud algorithm development and evaluation**
 - **Consistency of cloud properties across satellites**
 - **Neural Net for cloud top heights**
 - **Two-habit ice model in GEO**
 - **2-channel satellite update**
- **New SatCORPS capabilities**



Clouds Processing Status (MODIS & VIIRS)



CERES-MODIS
Edition 4
(*CDR)

Aqua: Jul 2002 – Feb 2023 (~ 20.5 y)
Terra: Feb 2000 – Feb 2023 (~ 23 y)

- *Uses frozen Ed4 cloud codes delivered in 2013*
- *MODIS Collection 5 radiances thru Feb 2016,*
- *MODIS Collection 6.1 March 2016 – present and scaled to C5 for consistency over entire record*
- *Terra-MODIS normalized to Aqua-MODIS (Sun-Mack, et al. 2018)*

CERES-VIIRS
Edition 1A

SNPP: Jan 2012 – Jun 2021 (~ 9.5 y)
NOAA-20: Jan 2018 – Jun 2021 (~ 3.5 y)

- *Uses VIIRS Ed1A cloud code*
- *SNPP uses forward processing calibrations (C1 radiances), not scaled to MODIS; has discontinuity ~2016 due to a calibration update by SIPS*
- *N20 uses C2 radiances and scaled to MODIS C5*

CERES-VIIRS
Edition 2A

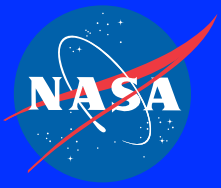
SNPP: Jan 2012 – Feb 2023 (~ 10 y)

- *Uses VIIRS Ed1A cloud code*
- *Uses C2 radiances and scaled to MODIS C5*

CERES-VIIRS
Edition 1B
(*CDR)

NOAA-20: Jan 2018 – Feb 2023 (~ 5 y)

- *Uses new version of VIIRS cloud code (temporary continuity version until Ed5 is released)*
- *Fills Aqua-MODIS gap in Aug 2020*

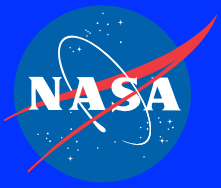


Ed5 Cloud Algorithms



- MODIS and VIIRS algorithms will be as similar as possible and use 11 common channels while retaining many of the advances made for Ed4.
- For GEO (20+ satellites), a 3-channel approach is developed and being evaluated for daytime (0.63, 3.9, 11 μm) and nighttime (3.9, 11, 6.7 μm).
 - except for Met-5, Met-7, and GMS-5 (0.63, 11 μm) which comprise 20% of GEO data
- To improve accuracies and consistency, Ed5 LEO and GEO algorithms have bug fixes, refined cloud masks, updated cloud models & atmospheric corrections, use of new ancillary datasets (e.g. snow/ice maps, clear sky radiances), and incorporation of machine learning algorithms.
- Ed5 cloud algorithms will employ information from the GEOS-IT to keep pace with latest GMAO reanalysis systems
 - GEOS-IT datasets must be in place to finalize algorithms

MODIS Central Wavelength (μm)	VIIRS Central Wavelength (μm)
0.65	0.64
1.61	1.61
2.13	2.26
3.78	3.74
11.0	10.8
12.0	12.0
8.55	8.55
1.24	1.24
0.47	0.49
1.38	1.38
0.86	0.86
6.71	N/A
13.3	N/A



Ed5 Cloud Algorithm Evaluation

(Initial versions)



Ed5 challenge: To what extent can we develop and apply common algorithms to disparate LEO and GEO data?

- Cross-platform consistency is a difficult given all of the satellite imagers in the record and their different characteristics.
- Our current focus is on MODIS/VIIRS consistency and the consistency across GEO sensors, particularly with respect to cloud detection (first order problem).
- Goal is to apply a similar cloud mask (10-channels) to MODIS/VIIRS and likewise a common 3-channel mask to the GEO's (want to avoid having to tune 23 GEO satellites).

Evaluations

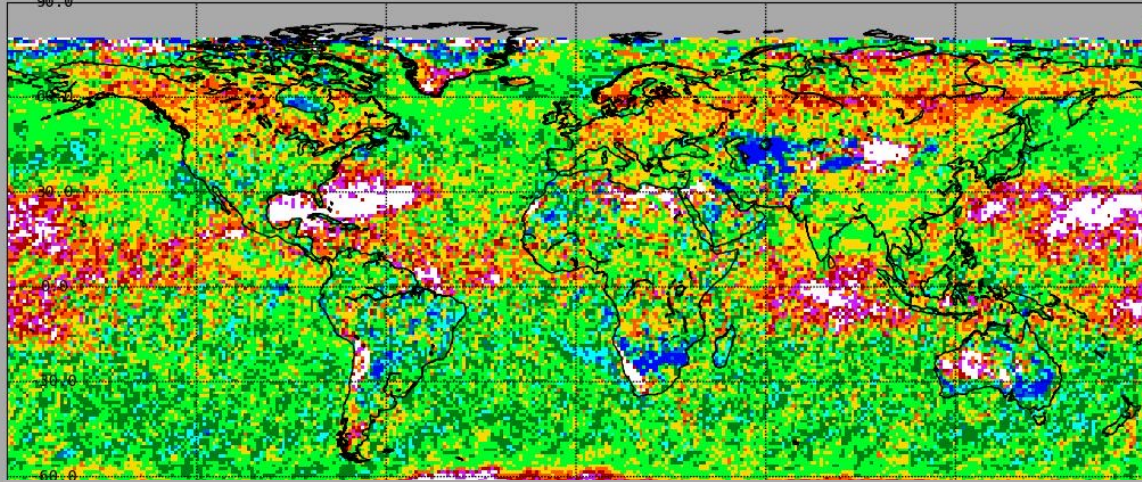
- Cloud property comparisons between Aqua-MODIS and NOAA-20 VIIRS in Ed5 vs. Ed4/Ed1B which comprise the current cloud CDR.
- Cloud property comparisons between GOES-16 and GOES-13 to evaluate Ed5 consistency relative to Ed4.

V1, same cloud mask

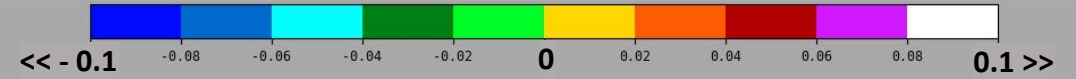
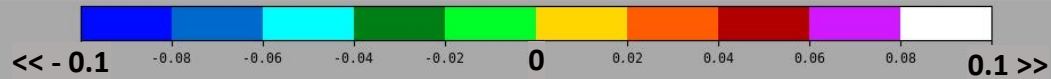
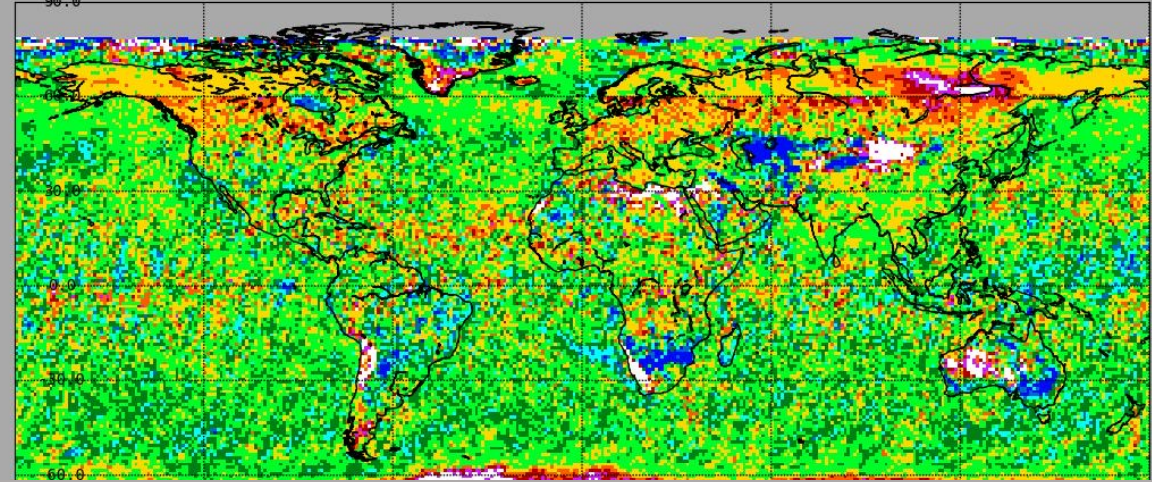
Total Cloud Fraction Difference: VIIRS Ed5 minus AQUA Ed5 (July 2019, Night)

V2, tuned masks

G:L=0.01(0.07) O=0.00(0.04) LO=0.00(0.06) P:L=0.04(0.08) O=0.03(0.07) LO=0.04(0.08) NP:L=-0.00(0.04) O=0.00(0.03) LO=-0.00(0.04)



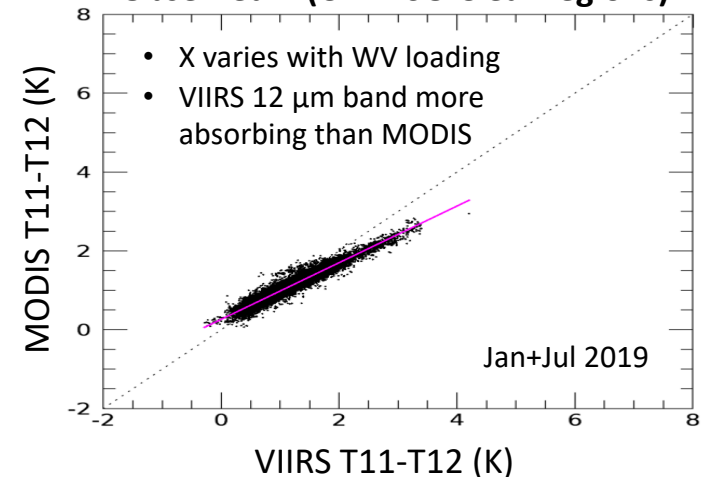
G:L=0.01(0.07) O=-0.01(0.04) LO=-0.00(0.06) P:L=0.05(0.08) O=0.03(0.07) LO=0.04(0.08) NP:L=-0.00(0.04) O=-0.01(0.02) LO=-0.01(0.03)



- Focus on non-polar ocean (land mask, polar night awaiting new inputs)
- Untuned (left) is the same cloud mask (v1) applied to MODIS and VIIRS
- Good agreement in many oceanic areas except at low latitudes where many more high clouds are being detected from MODIS
- Tuned version (right) applies different cloud masks, e.g.
 - Thin cirrus test: If $T_{11}-T_{12} > X$, then test passes (cloud)
 - $X = 1.5 \text{ K (MODIS)}$ & $X = 2.2 \text{ (VIIRS)}$, X is expected clear sky BTD
- Problems: Tuning is subjective, must tune all 25+ satellites (?), X is constant everywhere (can lead to whack amole)

Why tune (why does X vary) ?

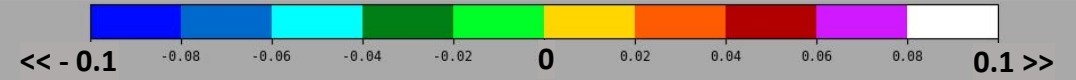
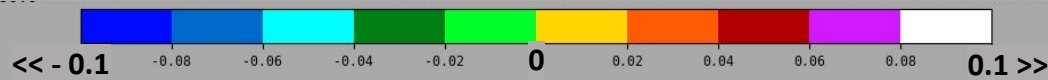
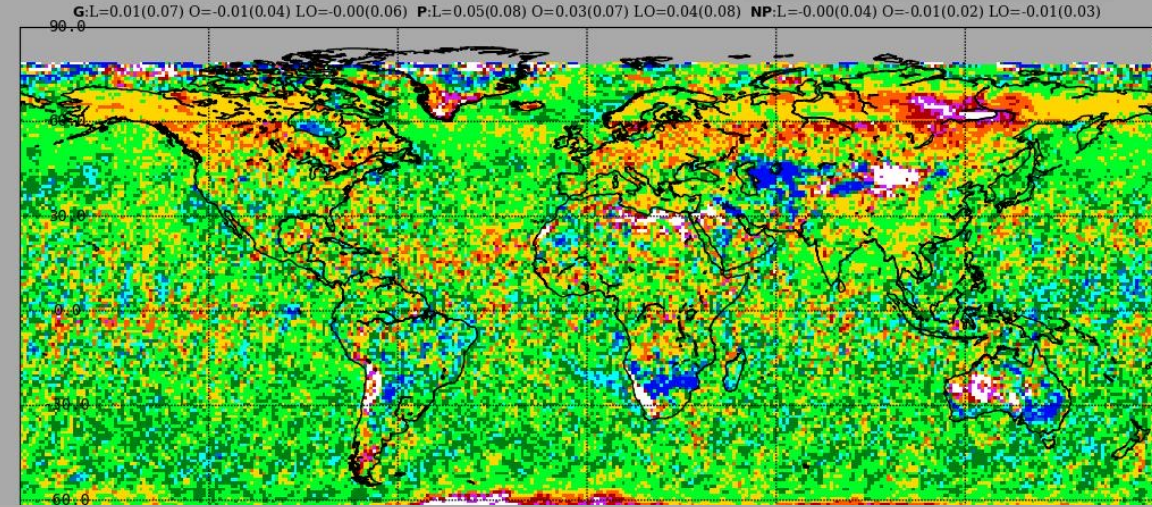
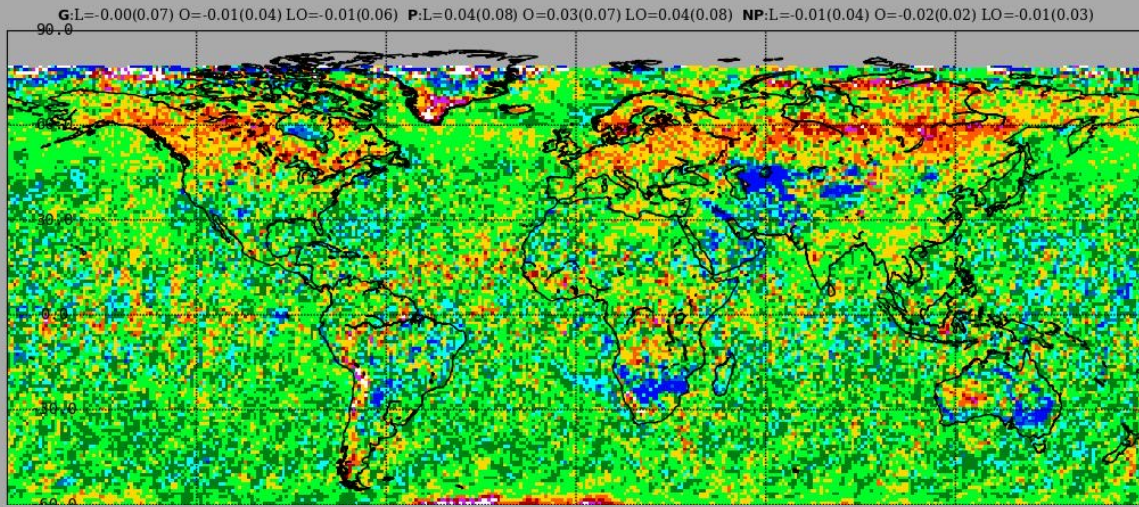
Observed X (CALIPSO Clear regions)



Ed5 (v3), same mask

Total Cloud Fraction Difference: VIIRS Ed5 minus AQUA Ed5 (July 2019, Night)

V2, tuned masks



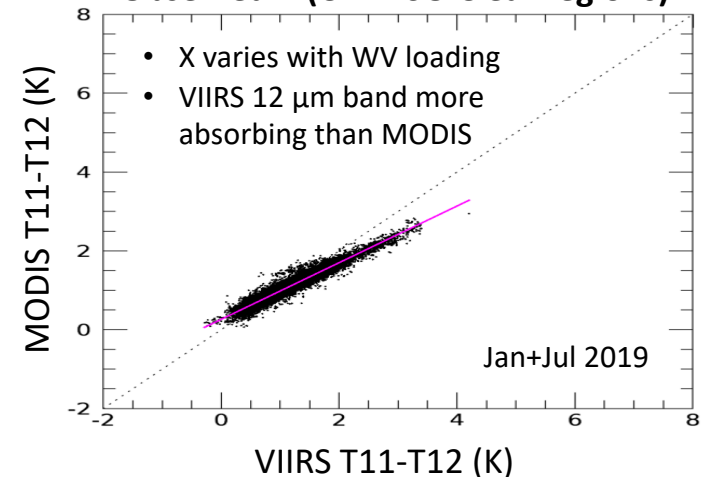
- In the latest version (v3), this thin cirrus test is modified so that the clear sky simulations are included in the threshold to better account for regional variations in water vapor absorption on T11 and T12.

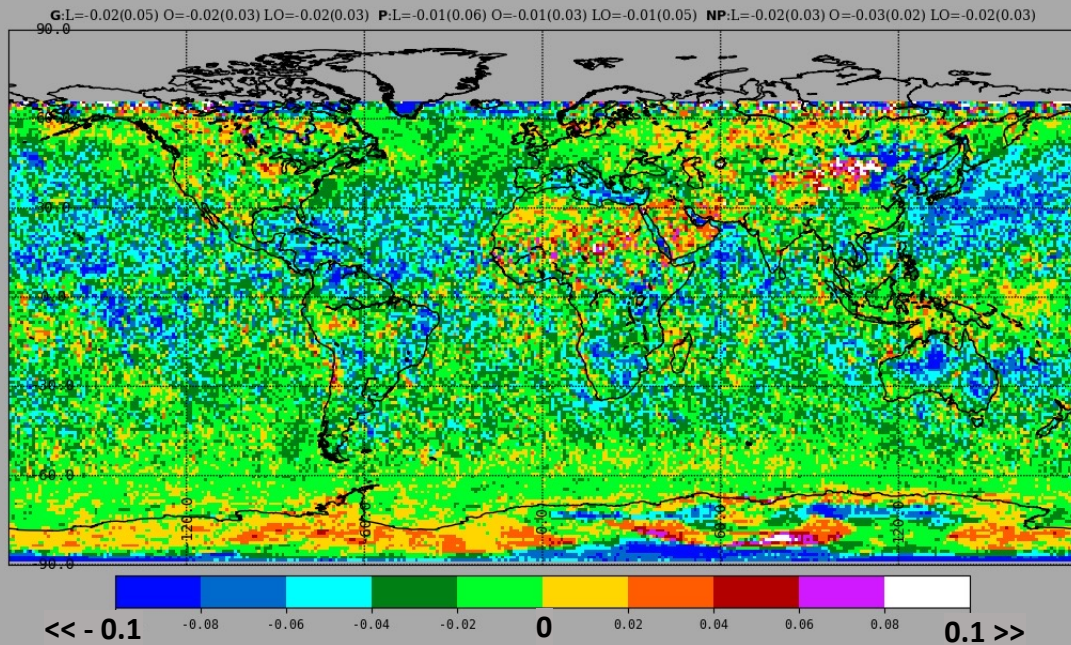
$$T11-T12 > X + (csT11-csT12) \quad , \quad X \text{ is same for MODIS and VIIRS}$$

- This produces monthly mean differences that are consistent with the tuning approach but allows us to apply an identical cloud mask to both sensors.

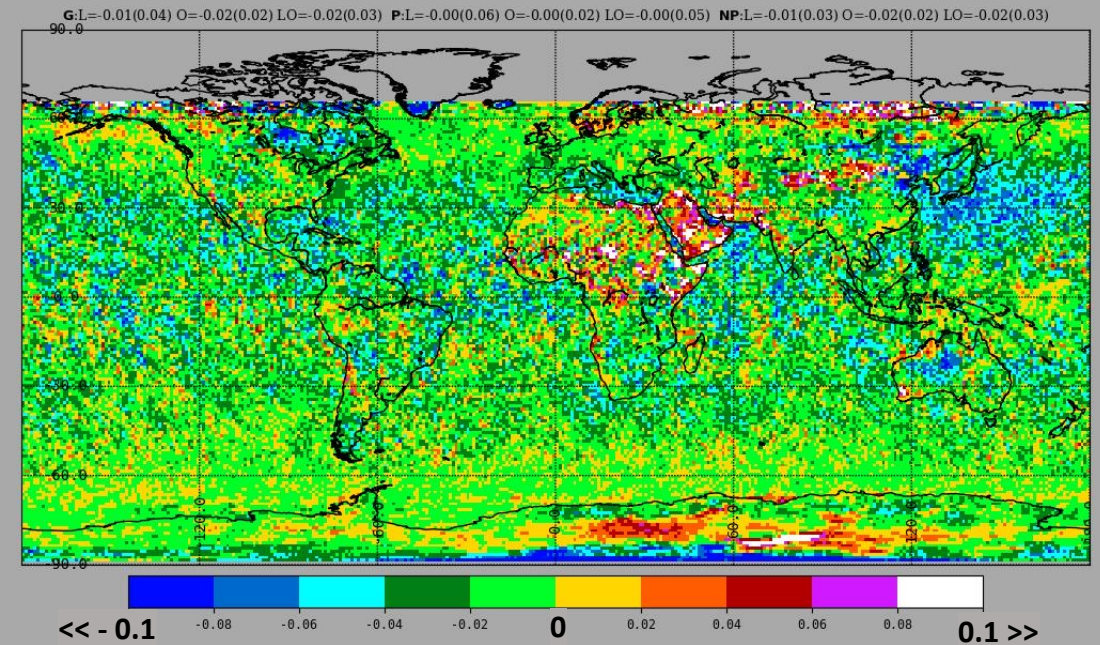
Why tune (why does X vary) ?

Observed X (CALIPSO Clear regions)

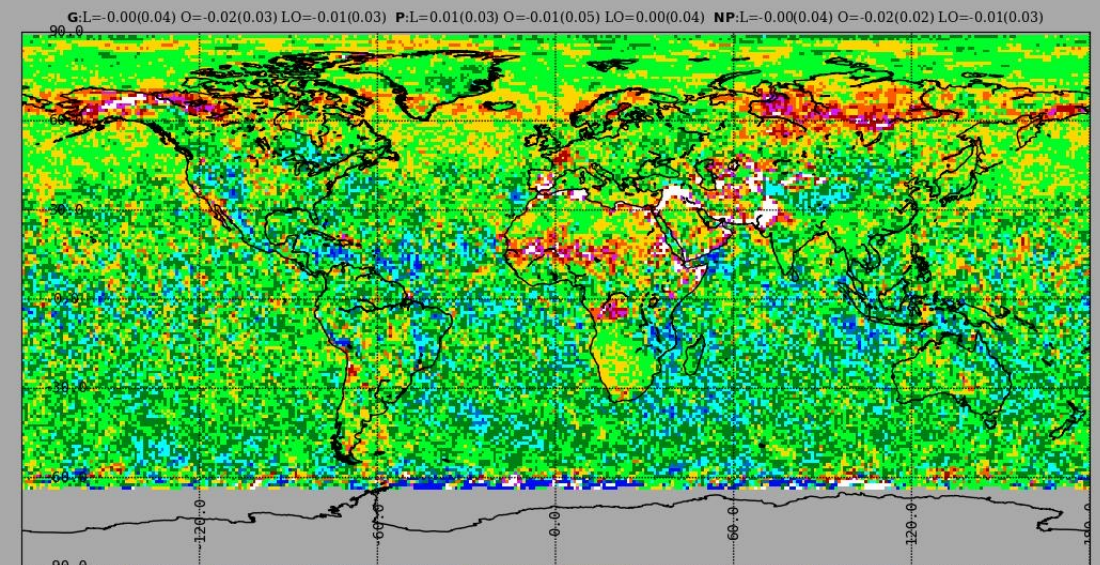
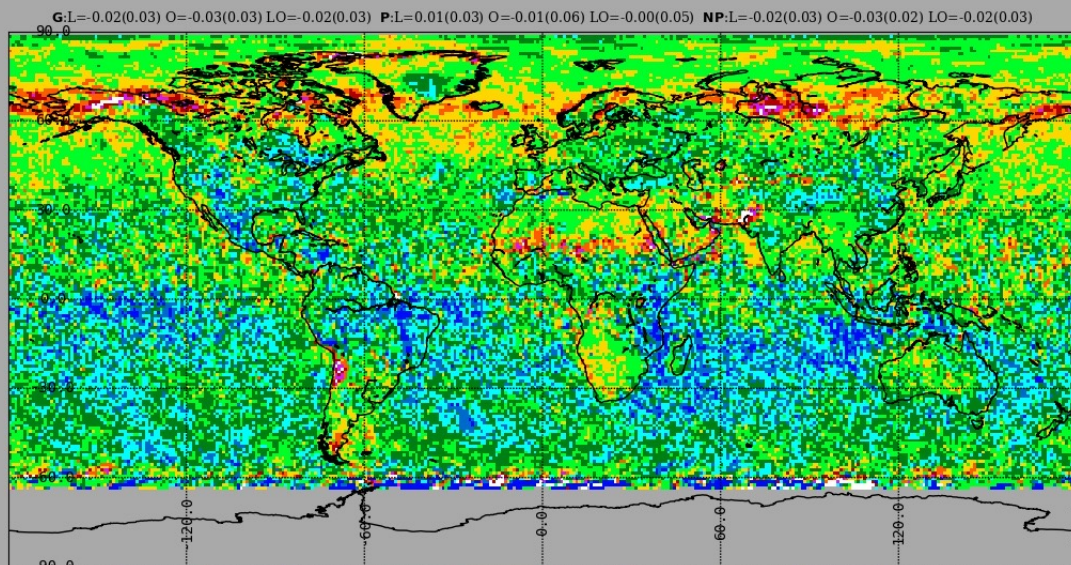




Jan
2019

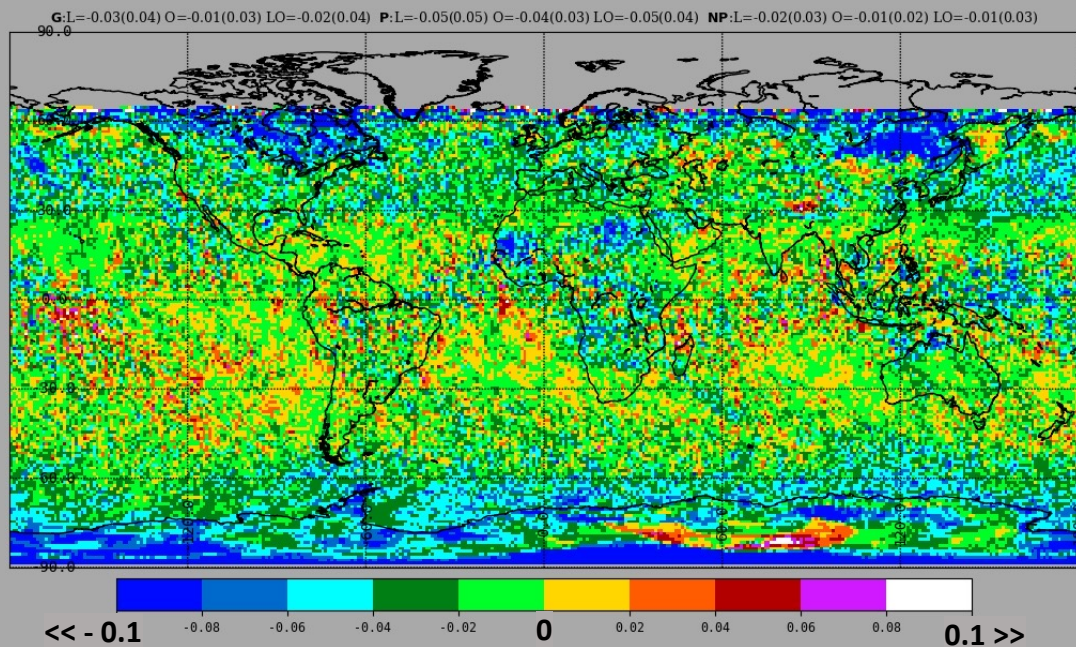
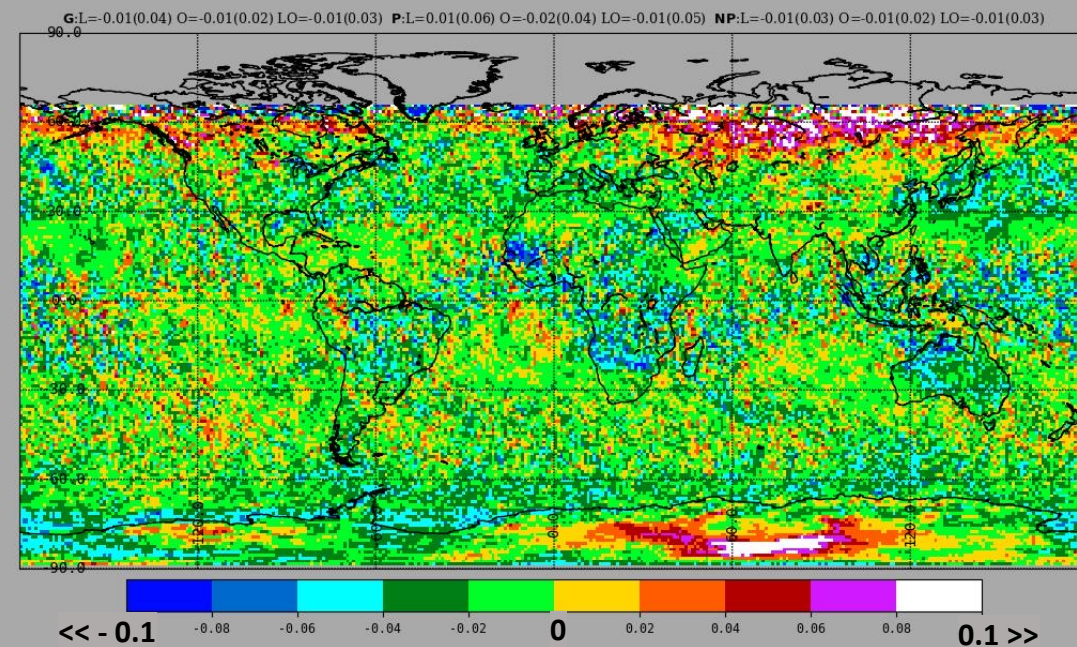
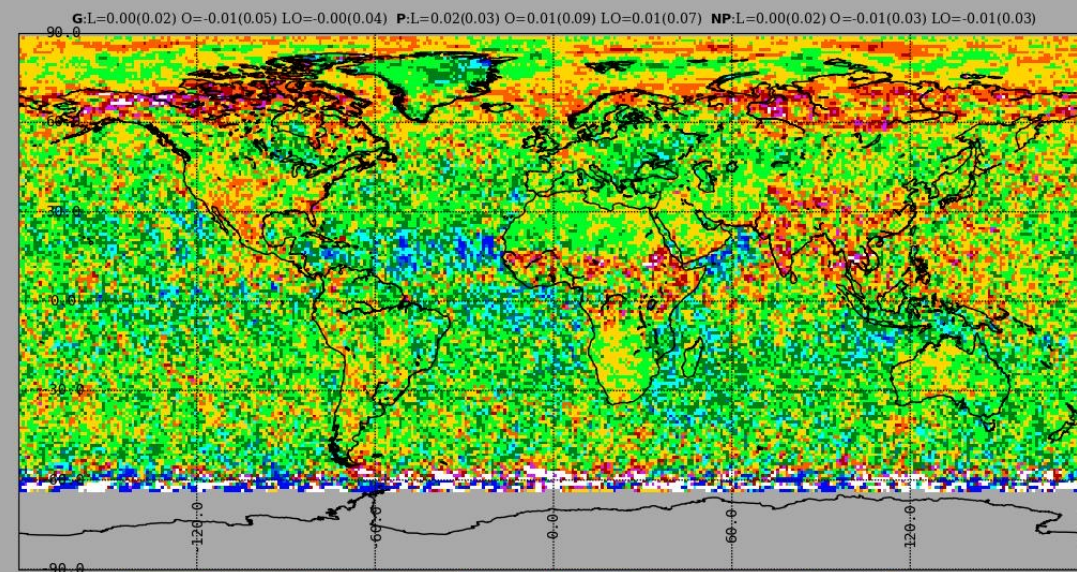
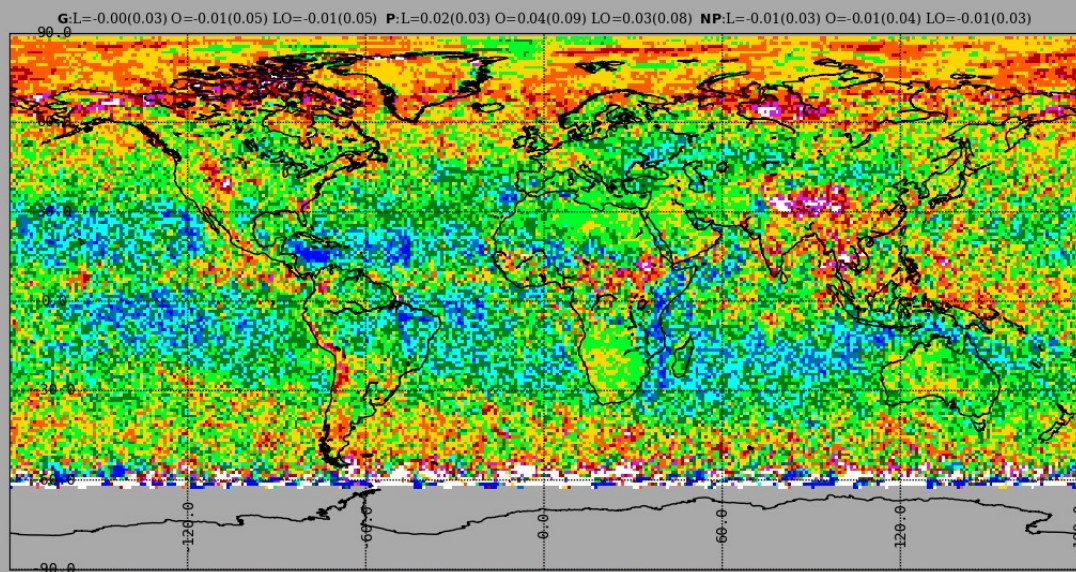


July
2019



- VIIRS Ed1B tuning to MODIS Ed4 results mixed
- Fewer oceanic clouds detected from VIIRS

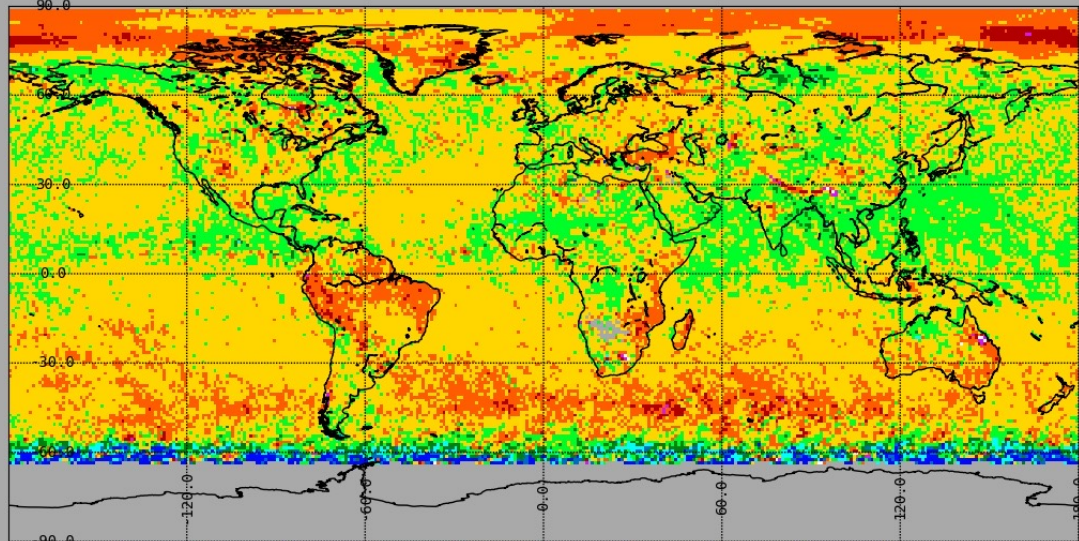
- Ocean and polar land consistency better with Ed5 approach
- Non-polar land mask needs work, awaiting new inputs

Ice
Cloud
FractionWater
Cloud
Fraction

- Fewer oceanic clouds detected from VIIRS are water clouds

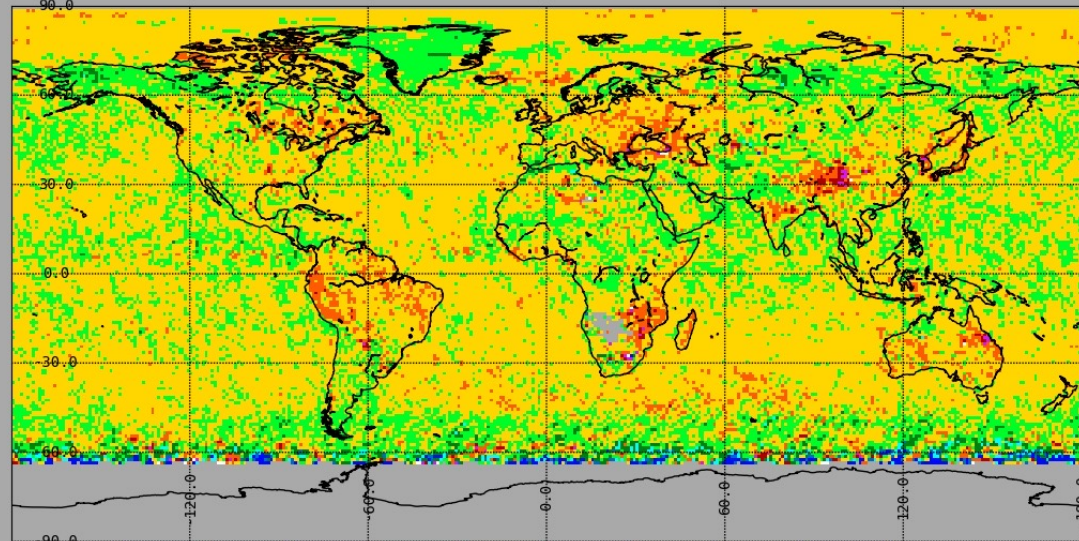
- Ocean and polar land consistency better with Ed5 approach
- Non-polar land mask needs work, awaiting new inputs

G:L=0.40(0.68) O=0.29(1.08) LO=0.32(0.98) P:L=0.43(0.69) O=-0.40(1.99) LO=-0.06(1.69) NP:L=0.40(0.67) O=0.34(0.67) LO=0.36(0.67)



<< -5 -4 -3 -2 -1 0 1 2 3 4 5 >>

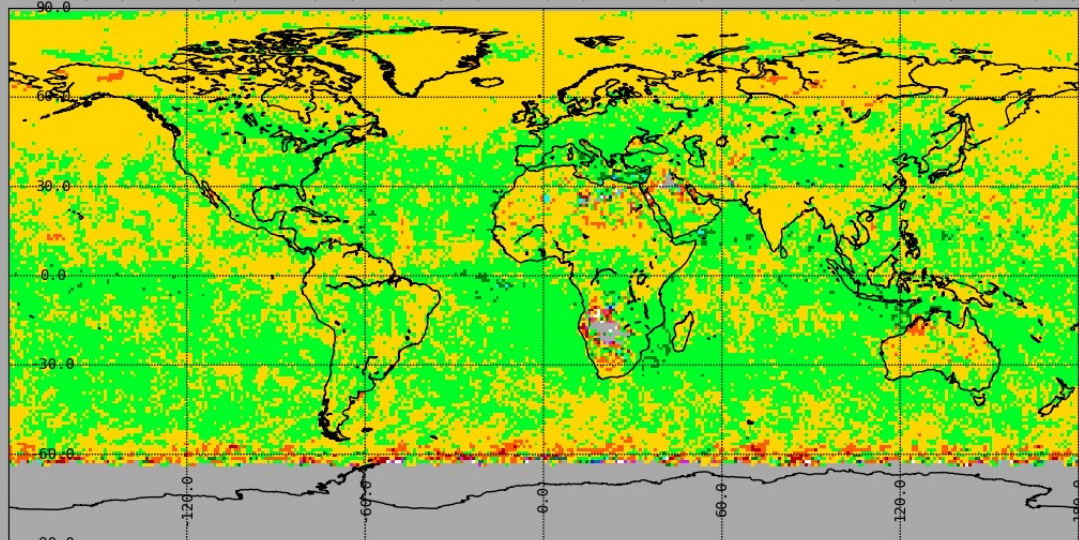
G:L=0.36(0.63) O=0.16(0.79) LO=0.21(0.75) P:L=0.01(0.55) O=-0.28(1.51) LO=-0.16(1.28) NP:L=0.41(0.63) O=0.19(0.45) LO=0.25(0.51)



<< -5 -4 -3 -2 -1 0 1 2 3 4 5 >>

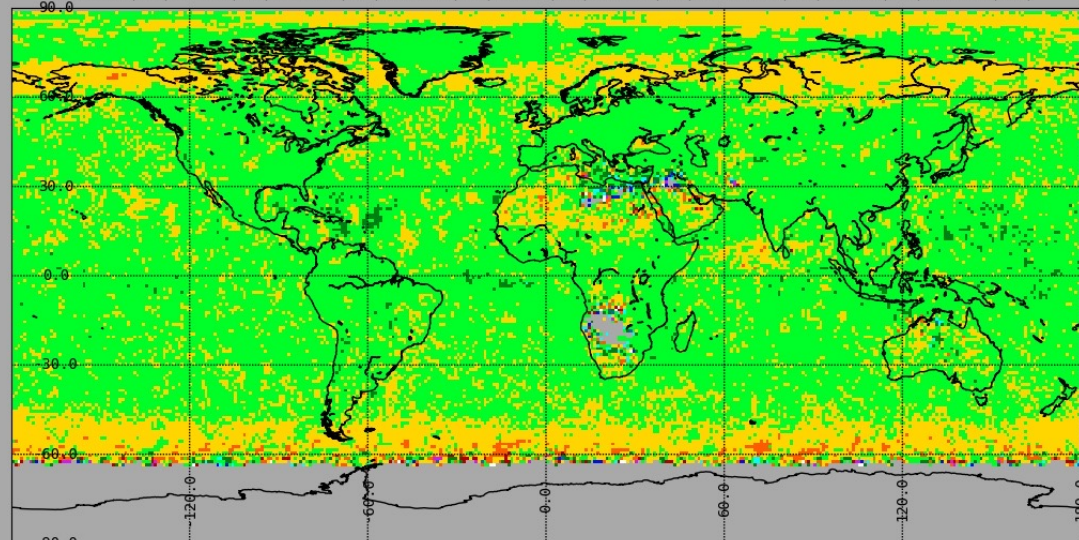
Total
COD

G:L=0.19(0.72) O=-0.06(0.69) LO=0.01(0.71) P:L=0.65(0.42) O=0.58(0.86) LO=0.61(0.75) NP:L=0.12(0.75) O=-0.11(0.60) LO=-0.05(0.65)



<< -8 -6.4 -4.8 -3.2 -1.6 0 1.6 3.2 4.8 6.4 8 >>

G:L=-0.35(0.76) O=-0.28(0.68) LO=-0.30(0.70) P:L=0.09(0.49) O=0.12(0.93) LO=0.11(0.81) NP:L=-0.41(0.81) O=-0.31(0.58) LO=-0.34(0.65)

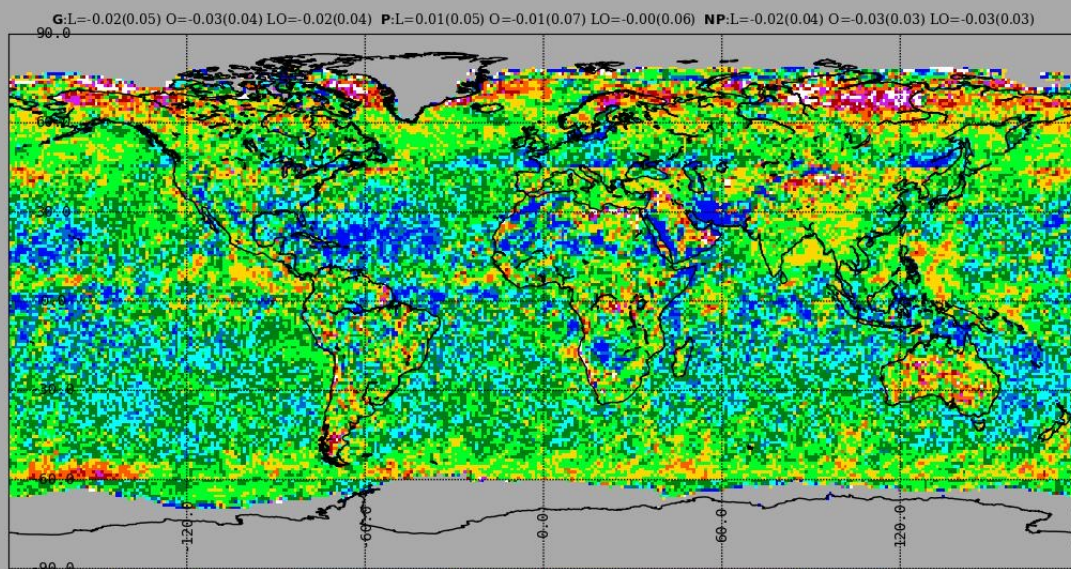
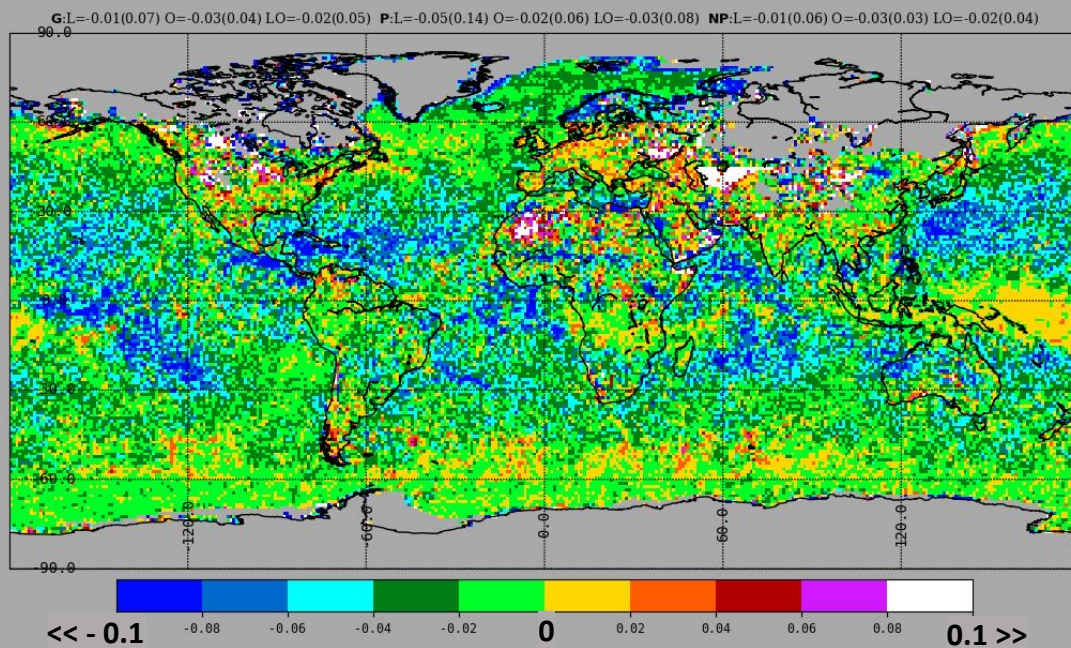


<< -8 -6.4 -4.8 -3.2 -1.6 0 1.6 3.2 4.8 6.4 8 >>

Water
CER

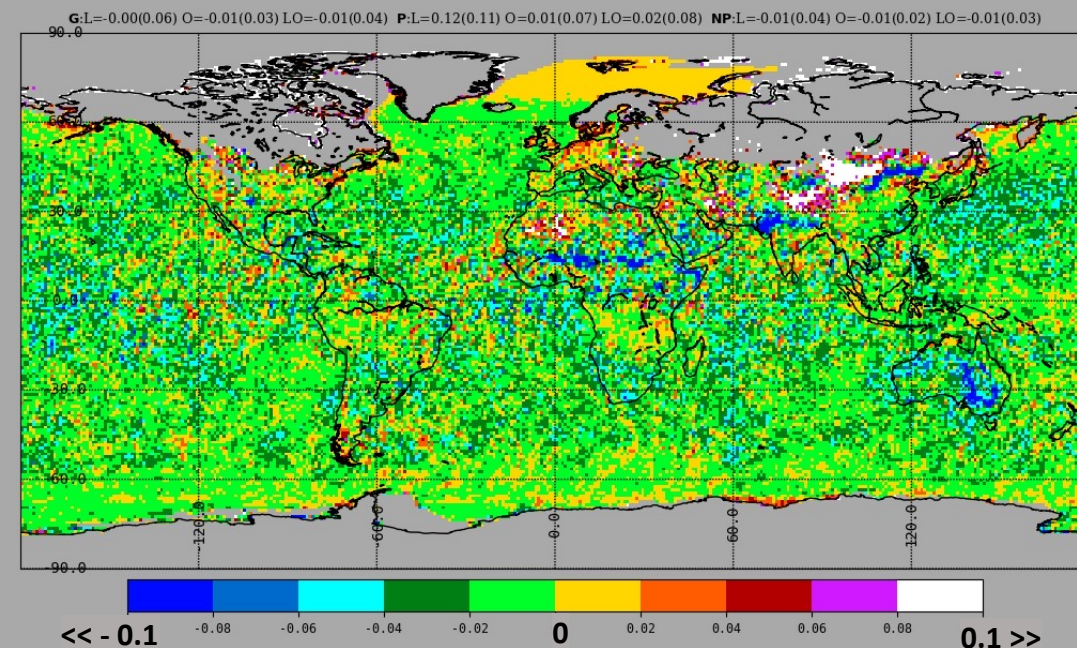
• VIIRS Ed1B tuning to MODIS Ed4 worked pretty well for COD and CER

• Ed5 consistency is better (worse) for COD (CER)

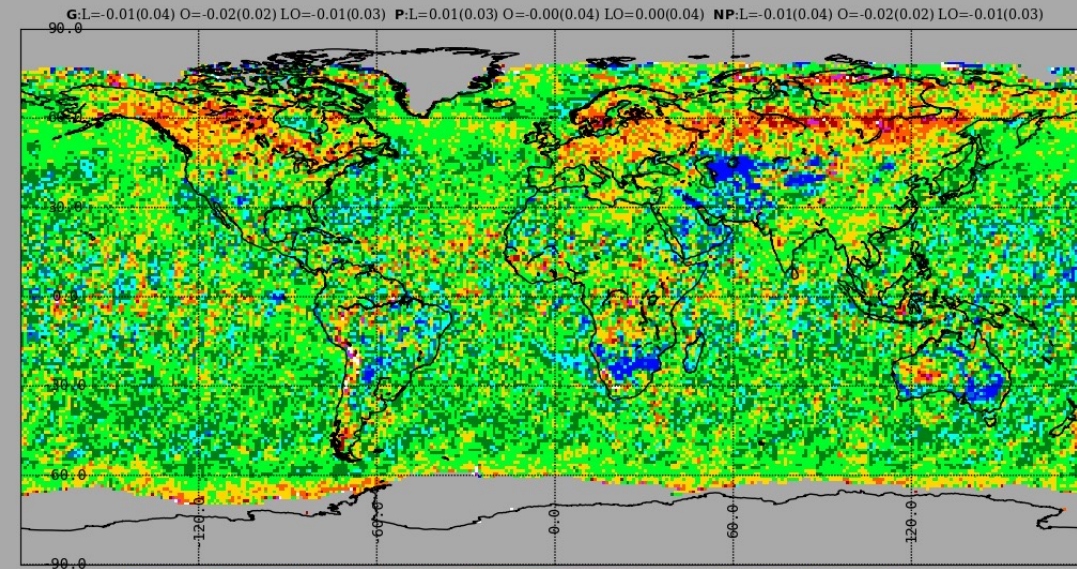


- VIIRS Ed1B tuning to MODIS Ed4 results mixed
- Fewer oceanic clouds detected from VIIRS

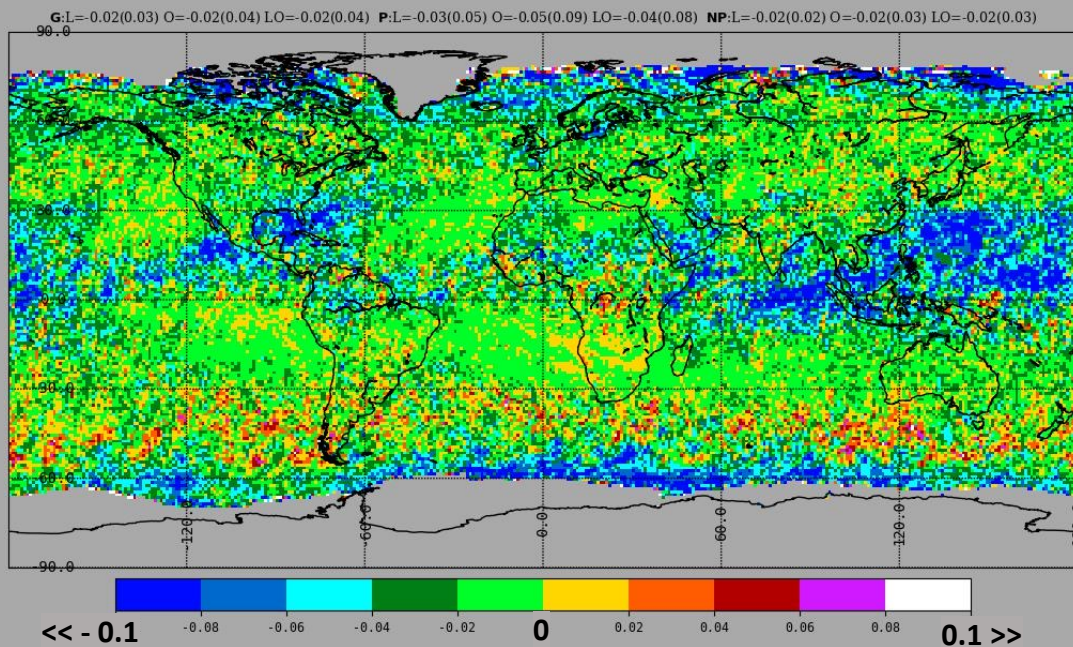
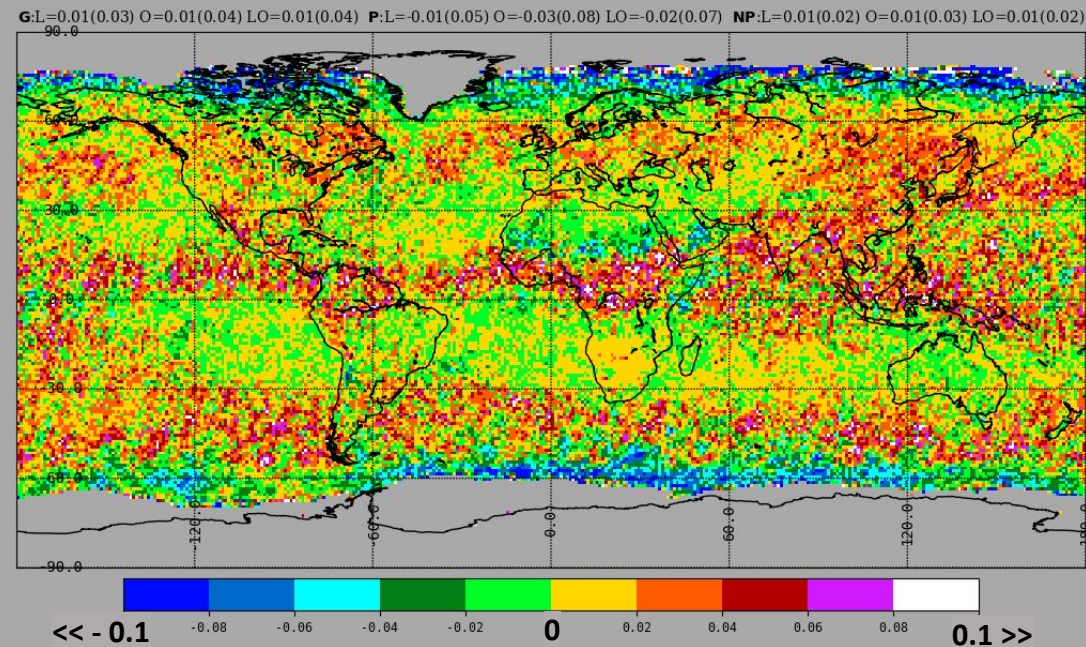
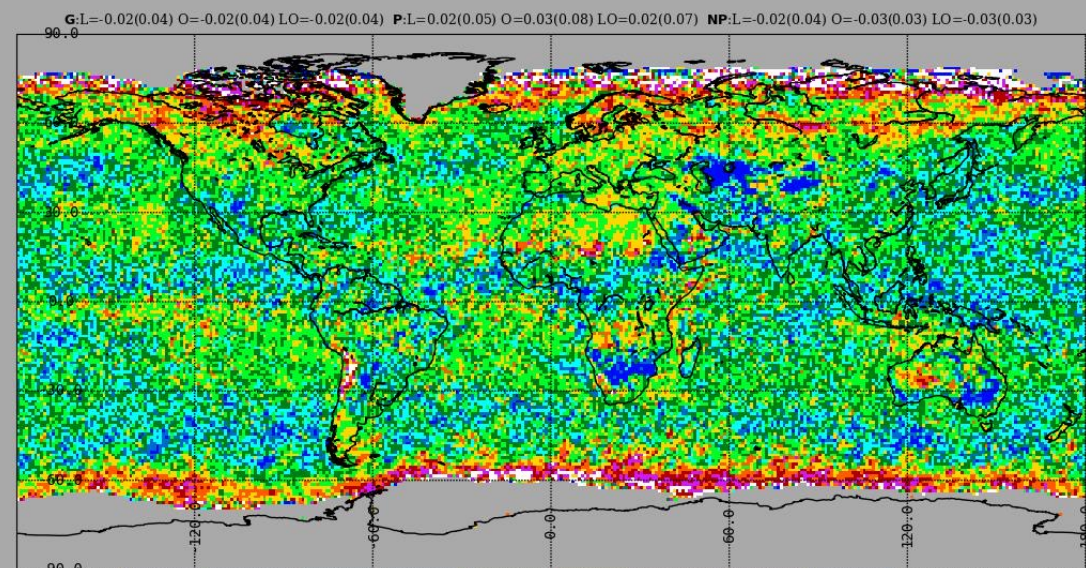
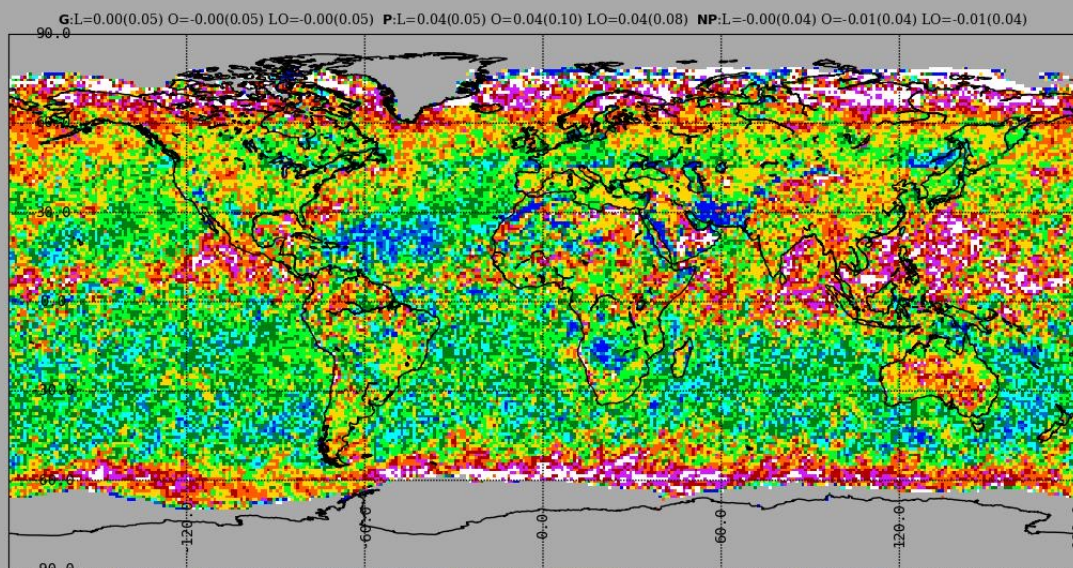
Jan
2019



July
2019



- Ed5 ocean consistency much better (VIIRS still a little low)
- New land mask and Nnet for polar night coming soon

Ice
Cloud
FractionWater
Cloud
Fraction

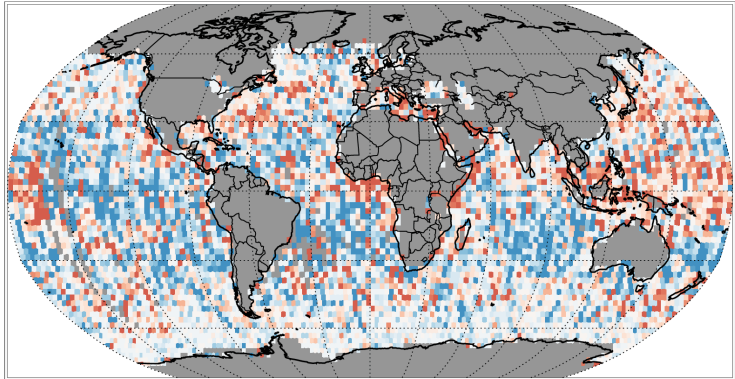
- Poor agreement at low latitudes and southern ocean

- Ed5 not much better
- Cloud phase logic needs revisit

Ed5 Cloud Fraction Comparisons with CALIPSO

Jan/July 2019

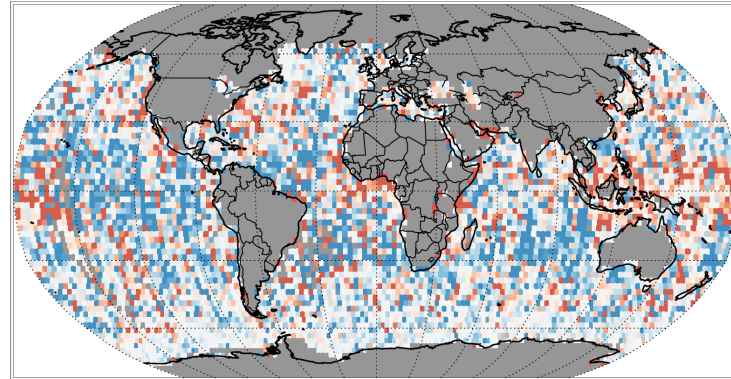
Ed5 MODIS minus CALIPSO



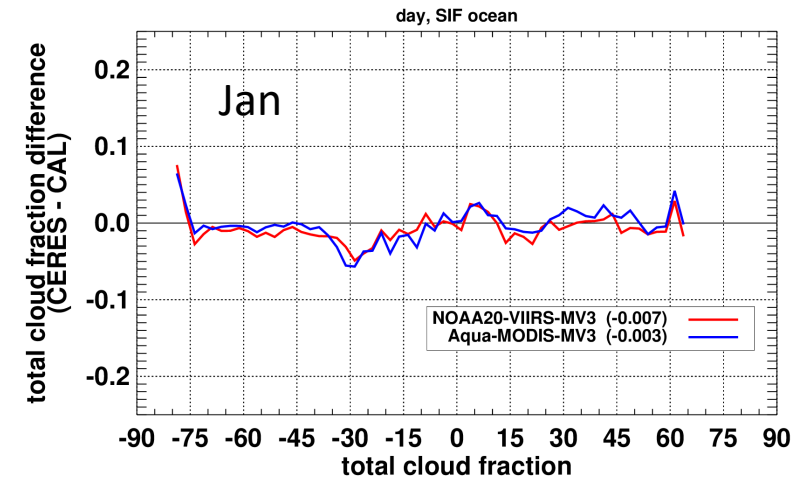
total cloud fraction difference, Aqua-MODIS-MV3 - CALIOP(HA ≤ 01 km)
-0.10 -0.05 0.00 0.05 0.10

Jan

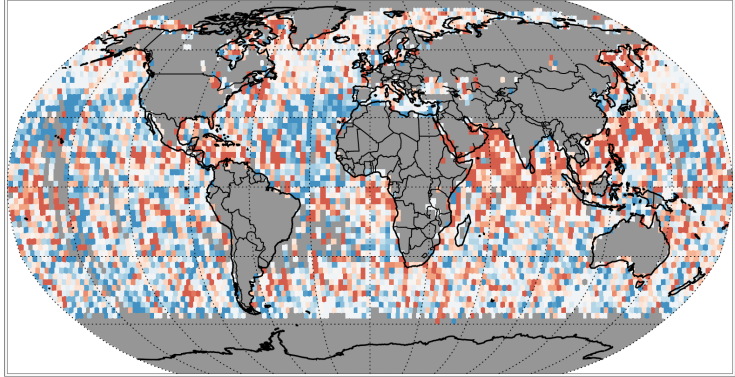
Ed5 VIIRS minus CALIPSO



total cloud fraction difference, NOAA20-VIIRS-MV3 - CALIOP(HA ≤ 01 km)
-0.10 -0.05 0.00 0.05 0.10



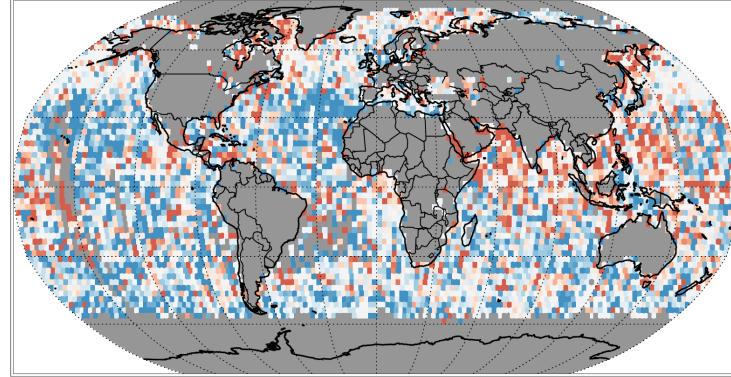
Ed5 MODIS minus CALIPSO



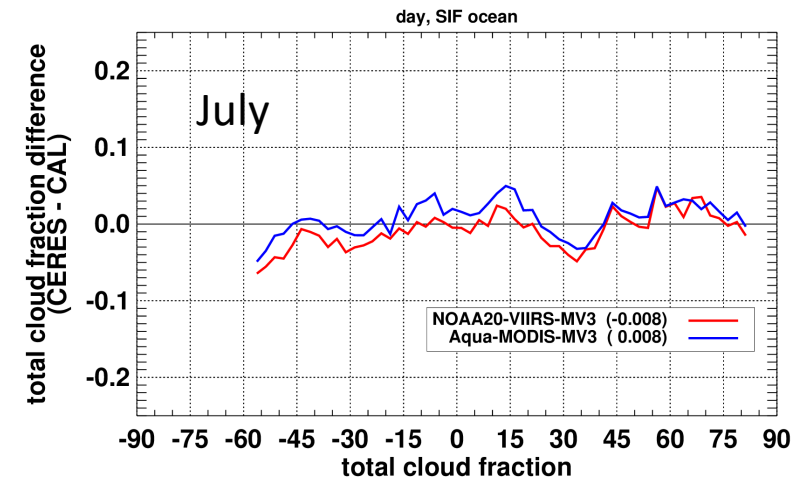
total cloud fraction difference, Aqua-MODIS-MV3 - CALIOP(HA ≤ 01 km)
-0.10 -0.05 0.00 0.05 0.10

July

Ed5 VIIRS minus CALIPSO



total cloud fraction difference, NOAA20-VIIRS-MV3 - CALIOP(HA ≤ 01 km)
-0.10 -0.05 0.00 0.05 0.10

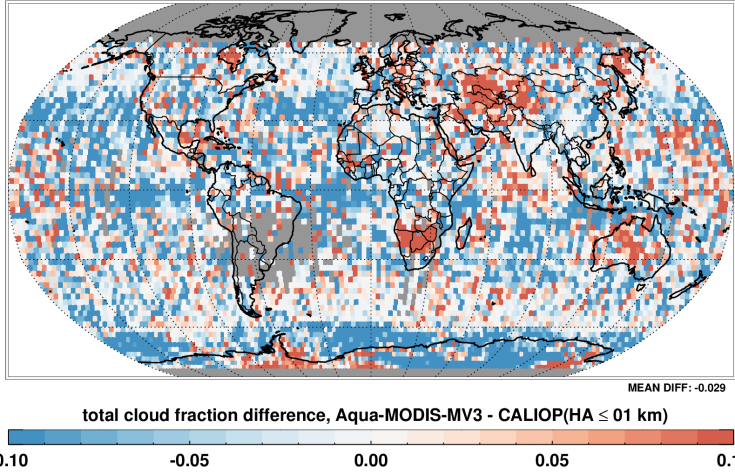


Daytime zonal means compare well with CALIPSO. Some seasonal dependencies for MODIS/VIIRS level of agreement (??)

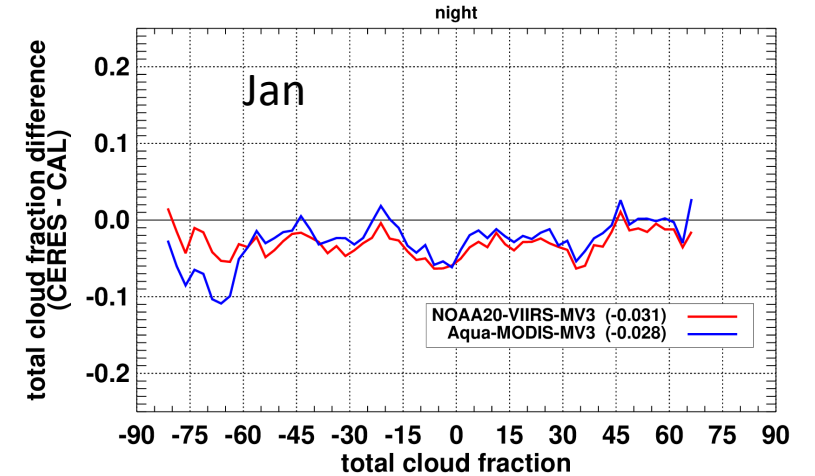
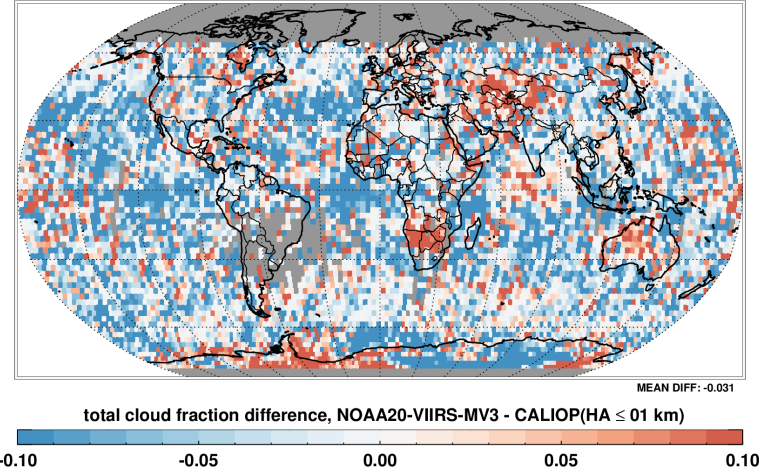
Ed5 Cloud Fraction Comparisons with CALIPSO

Jan/July 2019

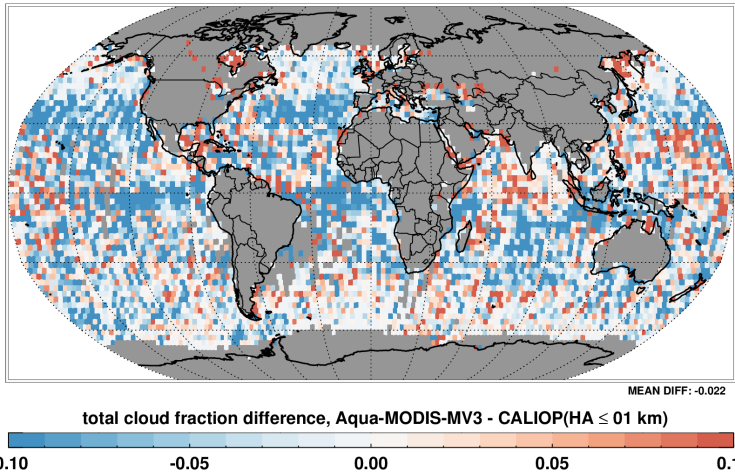
Ed5 MODIS minus CALIPSO



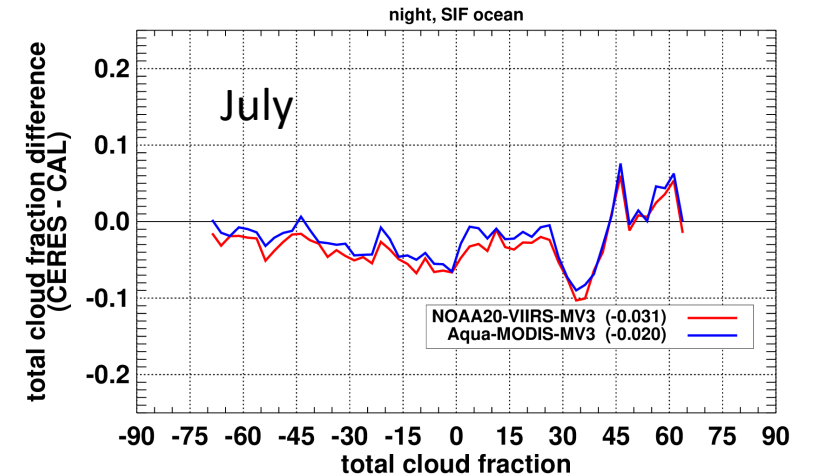
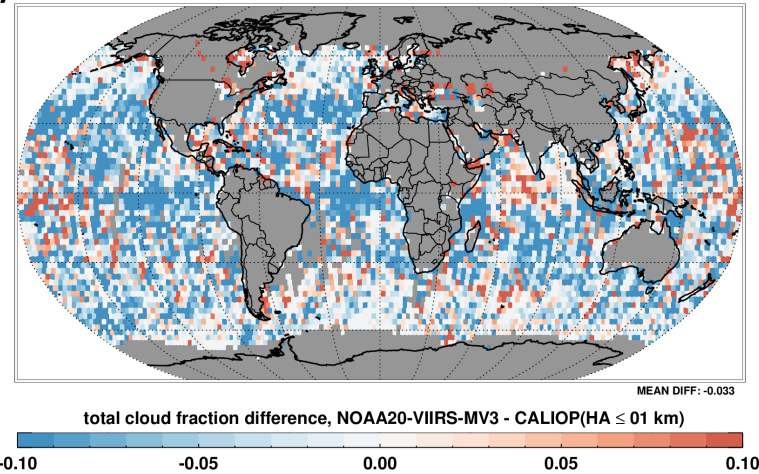
Ed5 VIIRS minus CALIPSO



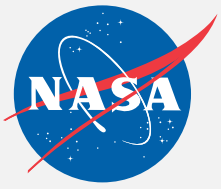
Ed5 MODIS minus CALIPSO



Ed5 VIIRS minus CALIPSO



MODIS/VIIRS generally consistent at night in zonal means but under-detecting some low clouds compared to CALIPSO

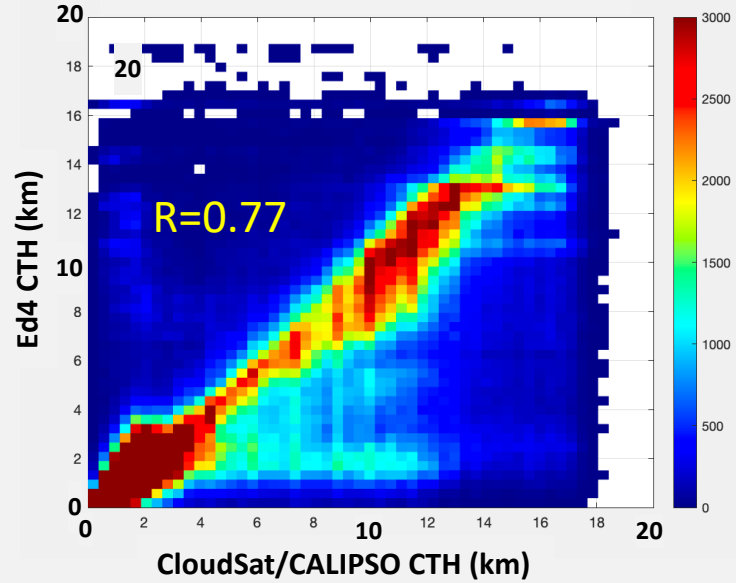


Higher Accuracy Cloud Top Heights using Neural Net

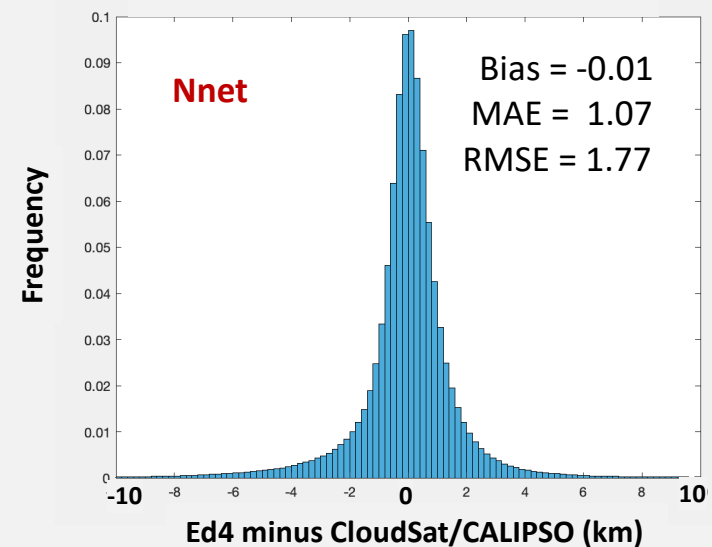
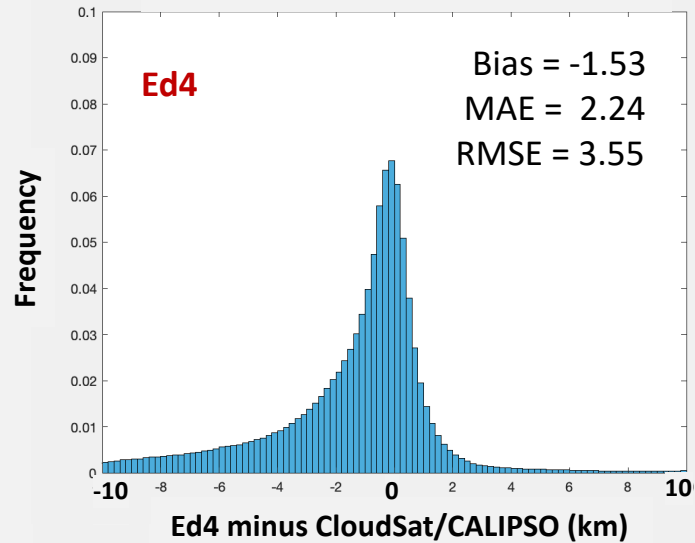
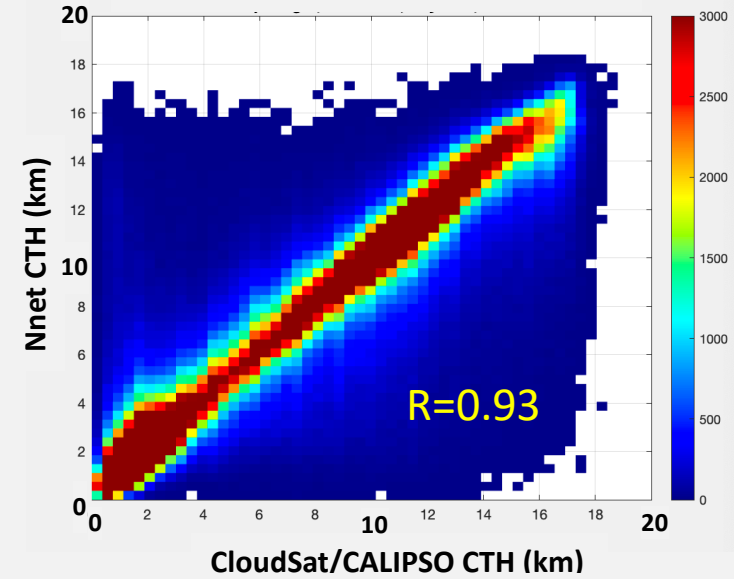
All clouds, daytime, snow/ice free

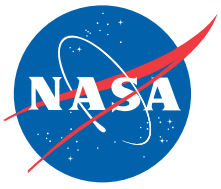


Edition-4 Methods



Neural Net Method





Higher Accuracy Cloud Top Heights using Neural Net

All clouds, daytime, snow/ice free



All Clouds

All	Nnet	Ed4
Bias	-0.01	-1.53
MAE	1.07	2.24
RMSE	1.77	3.55

Low Clouds

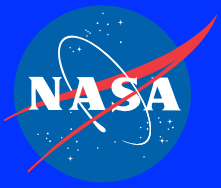
Low	Nnet	Ed4
Bias	0.60	0.31
MAE	0.85	0.89
RMSE	1.64	2.01

Mid Clouds

Mid	Nnet	Ed4
Bias	0.51	-0.80
MAE	1.14	1.63
RMSE	1.69	2.20

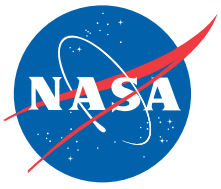
High Clouds

High	Nnet	Ed4
Bias	-0.48	-2.75
MAE	1.18	3.14
RMSE	1.86	4.38



Ed5 GEO Status

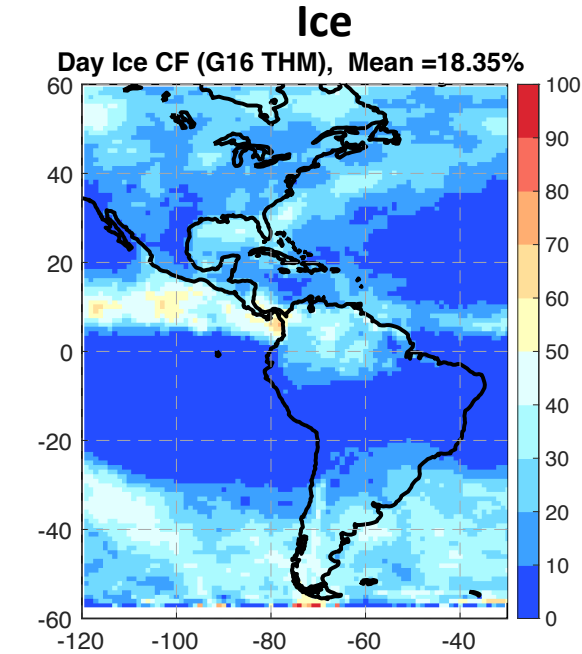
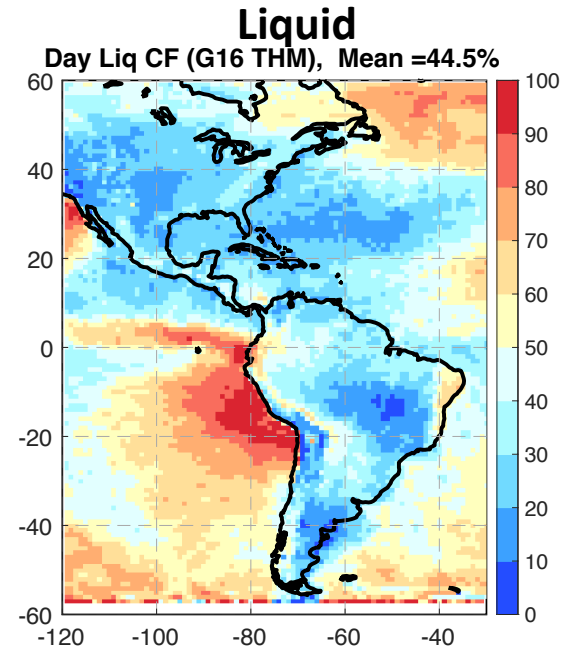
- Two-habit ice scattering model for ice clouds implemented
- Use of GOES-13 and GOES-16 overlap period to evaluate consistency with a common cloud algorithm
 - GOES-13: 5-band 2nd generation imager, 4-km IR
 - GOES-16 : 16-band 3rd generation imager, 2-km IR
- Clear sky Land/ocean reflectance update for 2-ch satellites



Daytime Cloud Fraction ($SZA < 75^\circ$)

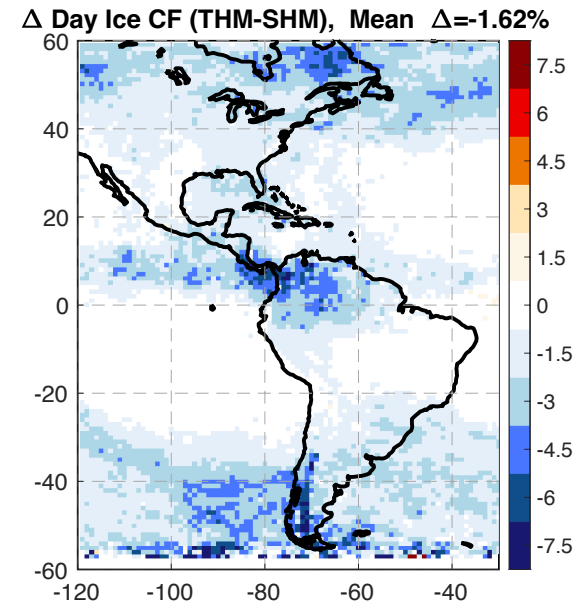
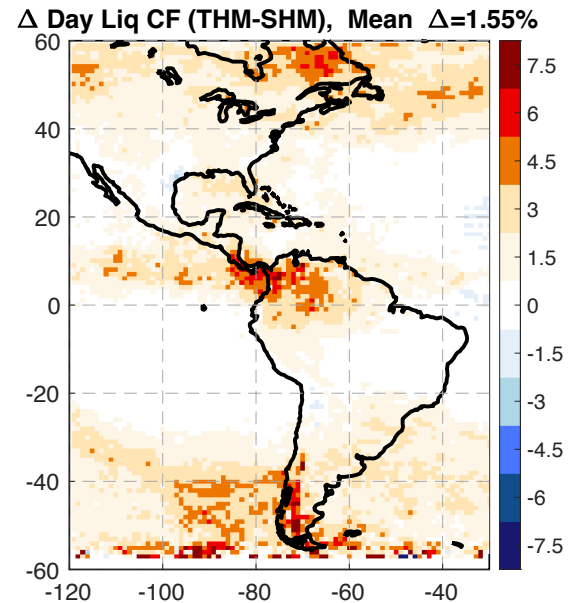


Mean GOES-16 THM

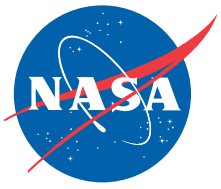


July 2017

THM - SHM



Some notable differences in cloud phase arise due to the change in ice models

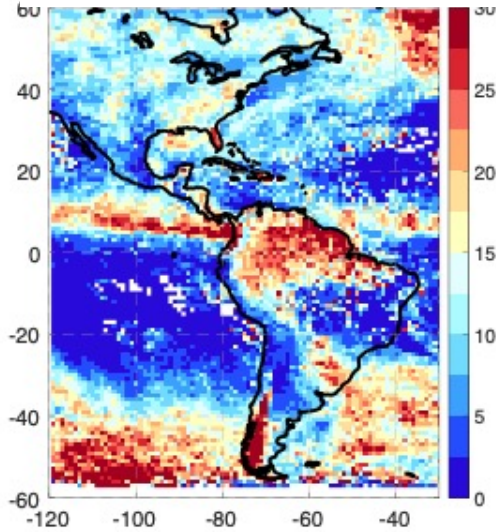


Ice Cloud Optical Depth Comparison ($\Delta CF < 1\%$)

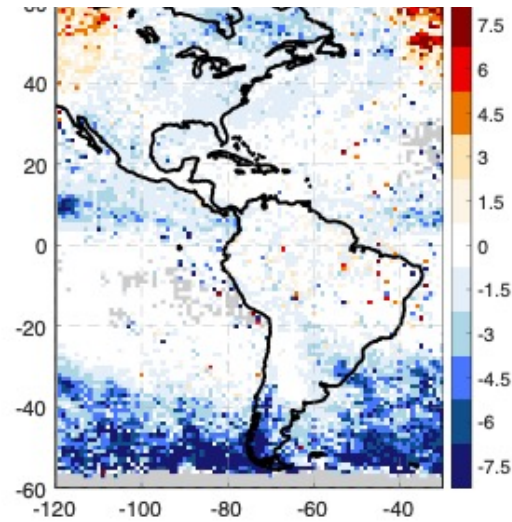


July 2017, Day

Mean GOES-16 Tau (THM)

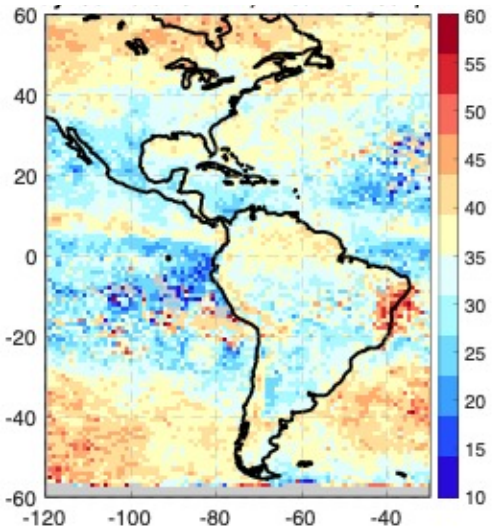


THM - SHM Tau ($\Delta = -1.52$)

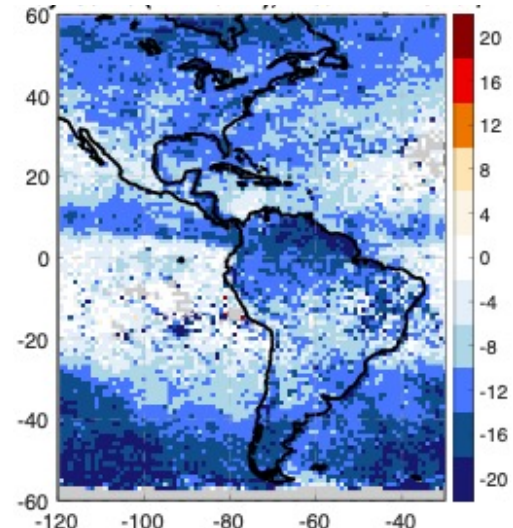


Ice cloud Tau mostly decreases with THM as expected due to the lower asymmetry parameter at 0.65 μm

Mean GOES-16 R_e (THM)

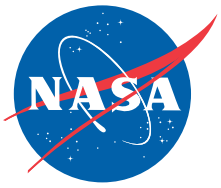


THM - SHM R_e ($\Delta = -10.8 \mu\text{m}$)



Ice cloud R_e decreases substantially for THM due to larger asymmetry parameter for THM at 3.9 μm

GEO results consistent with MODIS



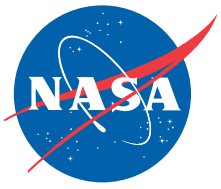
GOES-16 vs GOES-13

3-channel code with THM

Overlapping period with equivalent subsatellite point (Dec 14-31 20217)

GOES-16 data spatially averaged to match GOES-13 resolution

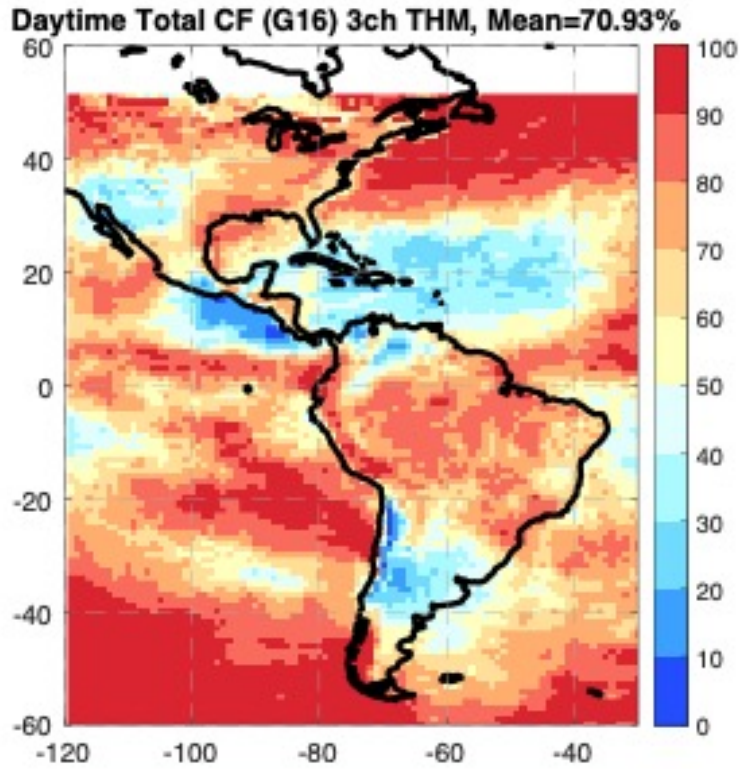
Hourly observations, 15-minutes apart



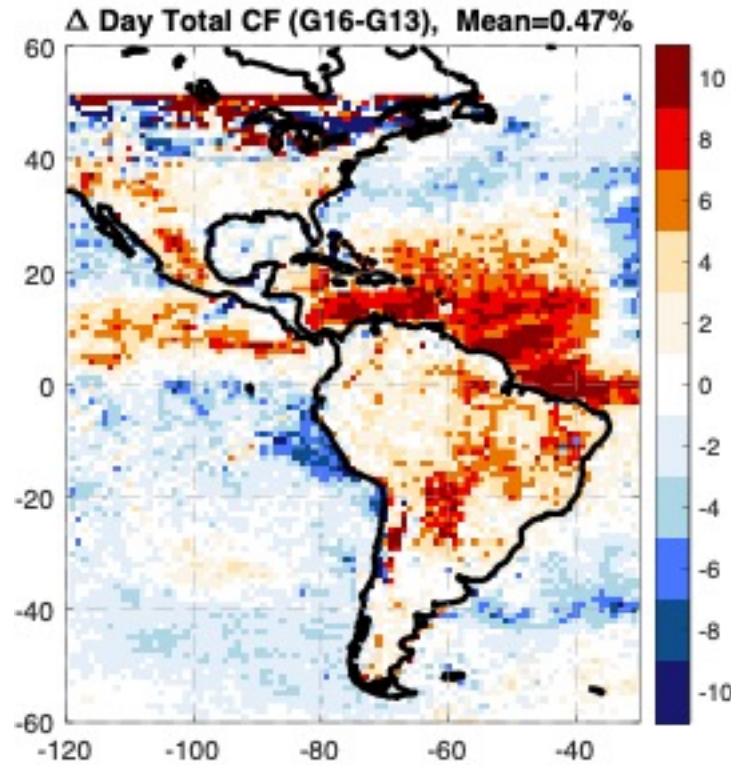
Daytime Total Cloud Fraction ($SZA < 75^\circ$)



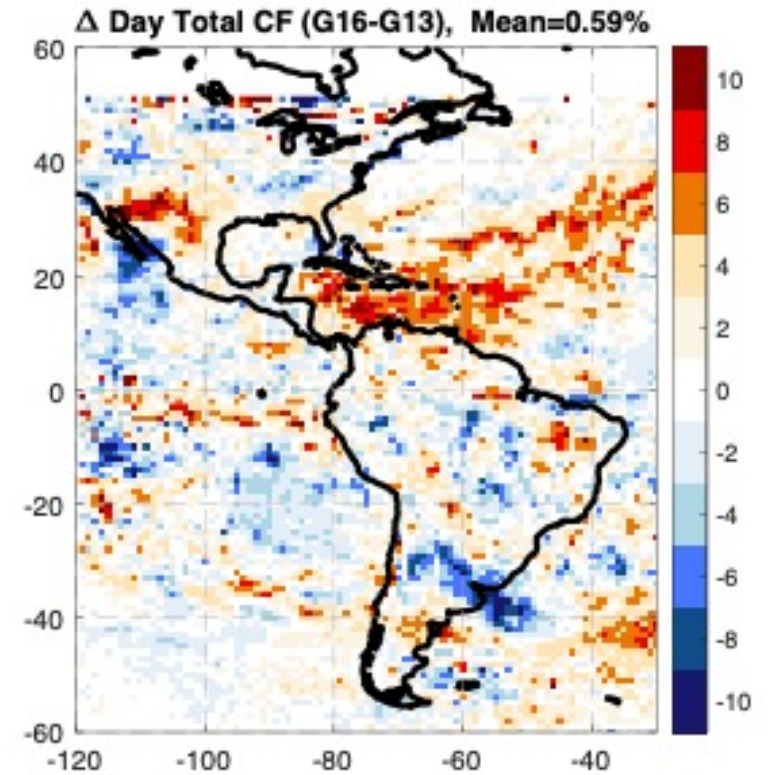
Mean GOES-16 (3ch)

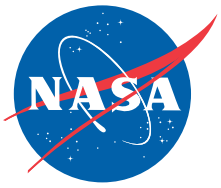


G16 – G13 (Ed4)



G16 – G13 (Ed5)



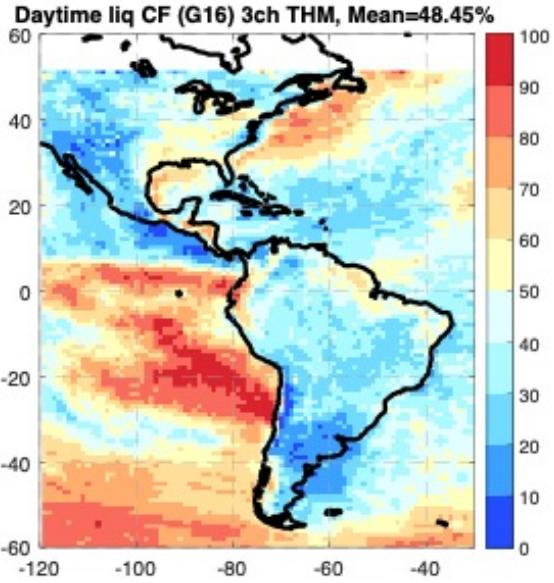


Daytime Cloud Fraction by Phase (SZA<75°)

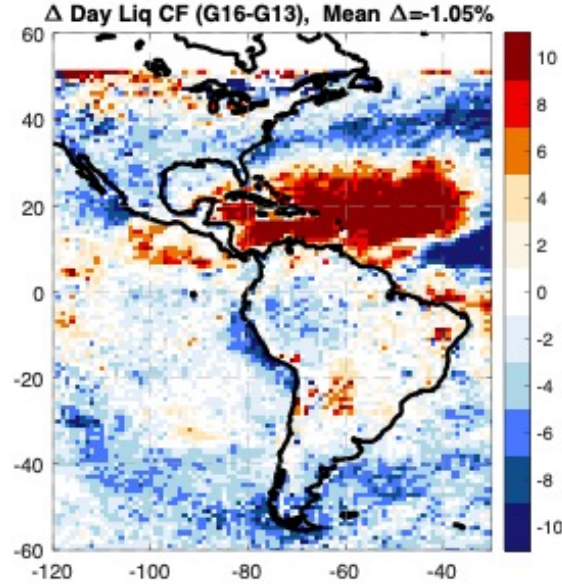


LIQUID

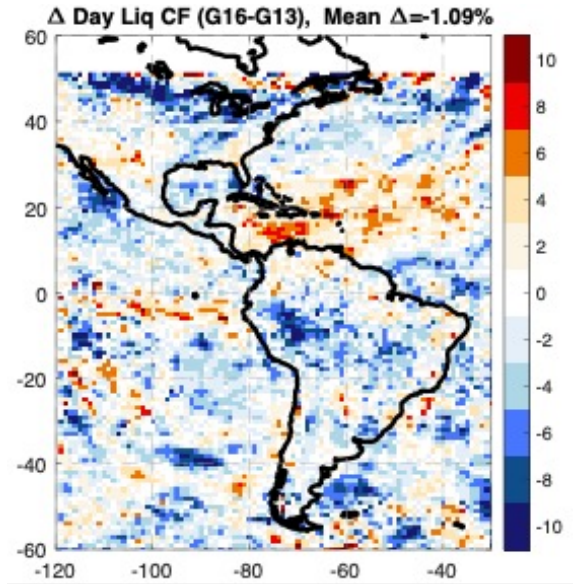
Mean GOES-16 (3ch)



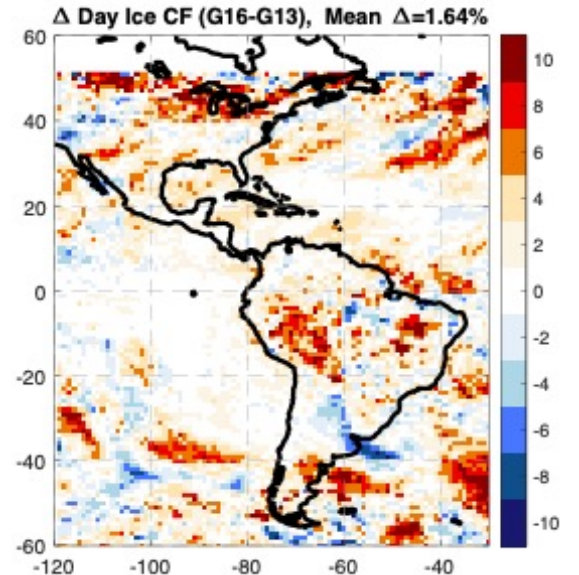
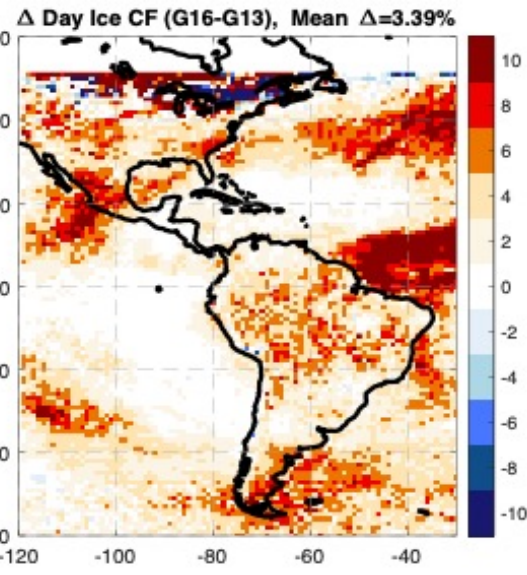
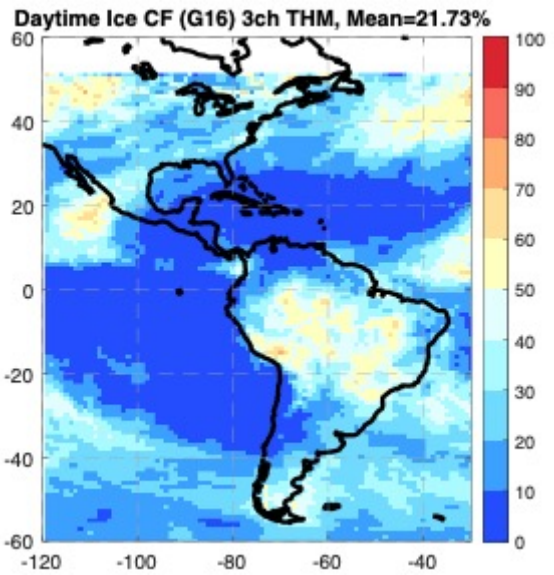
G16 – G13 (Ed4)

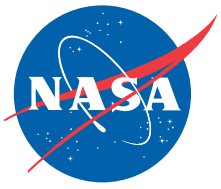


G16 – G13 (Ed5)



ICE

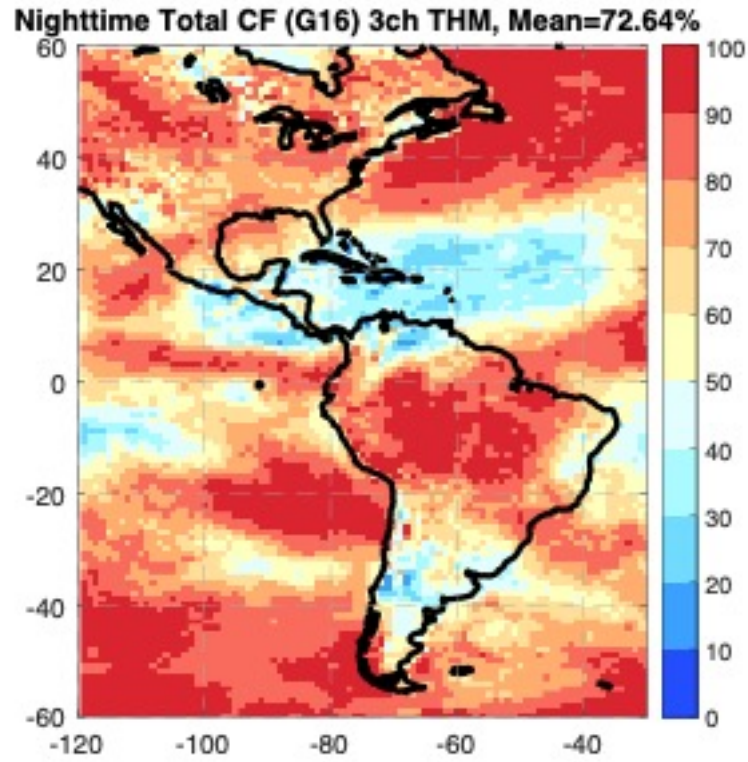




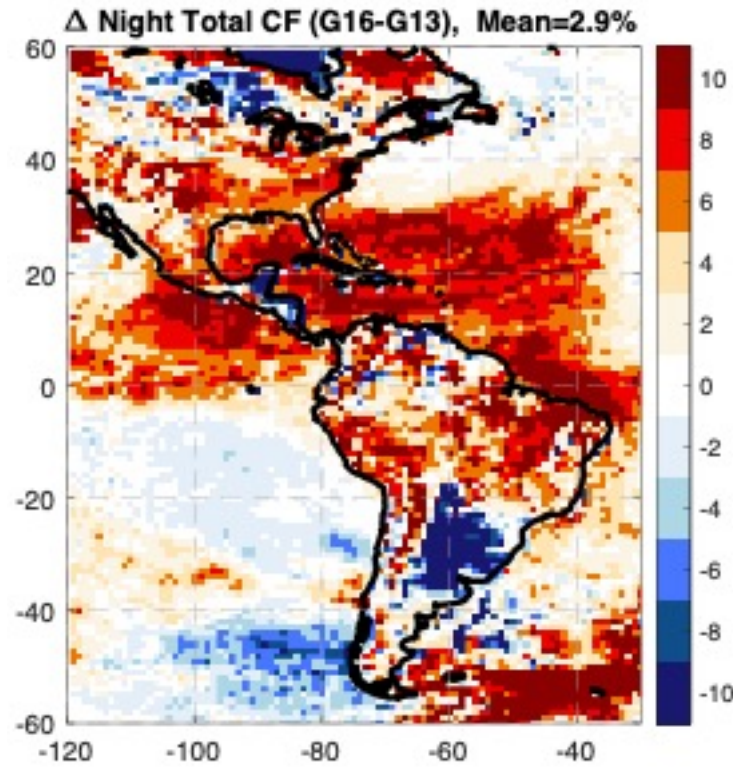
Nighttime Total Cloud Fraction



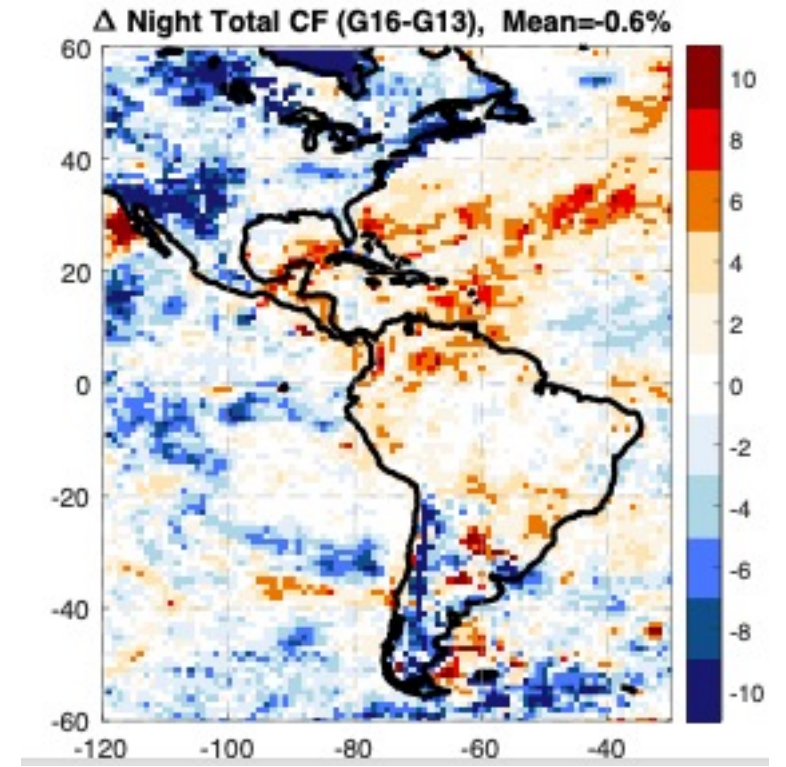
Mean GOES-16 (3ch)

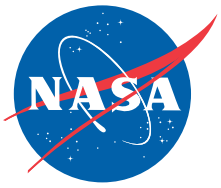


G16 – G13 (Ed4)



G16 – G13 (Ed5)

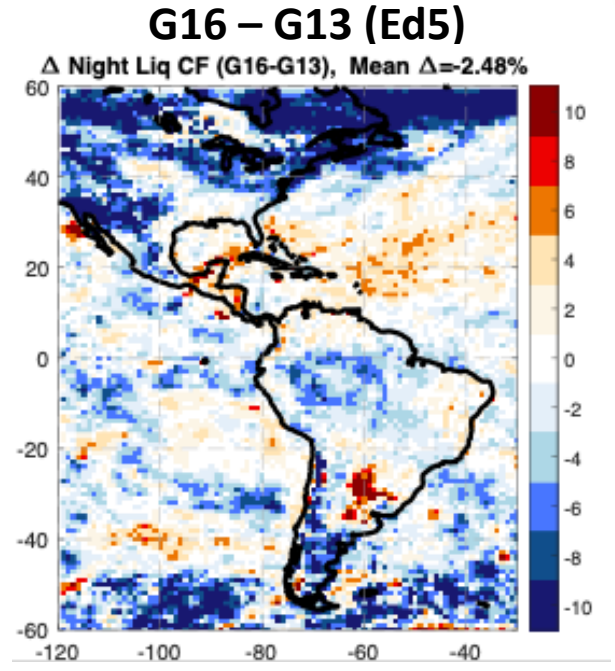
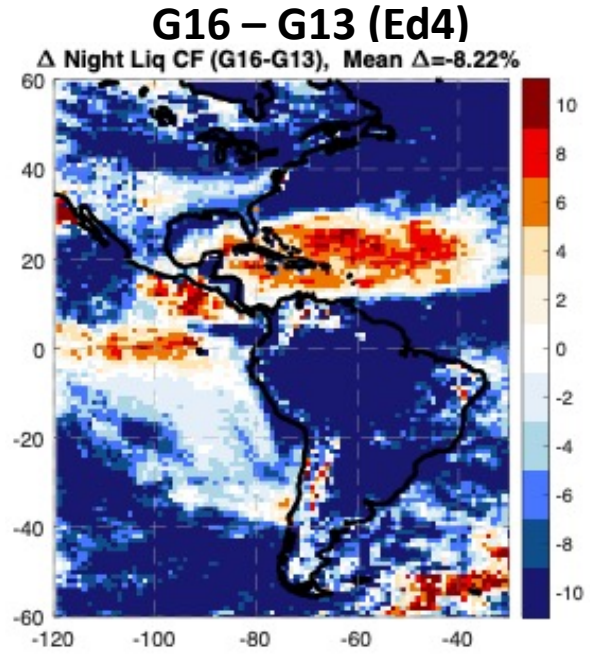
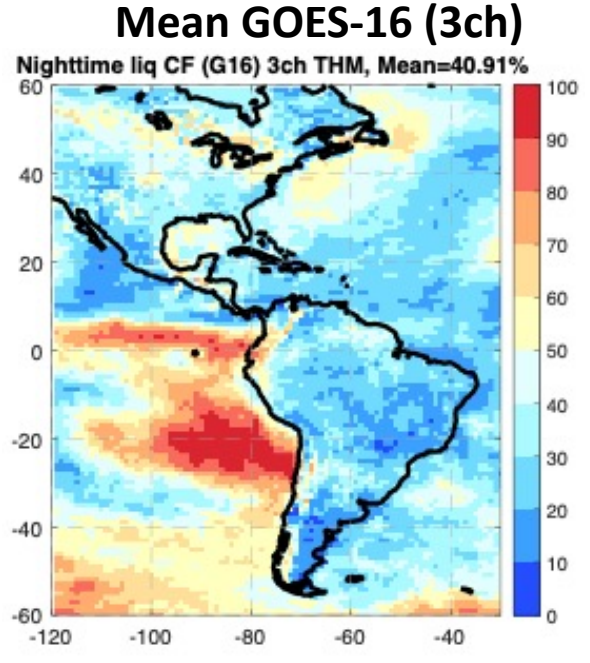




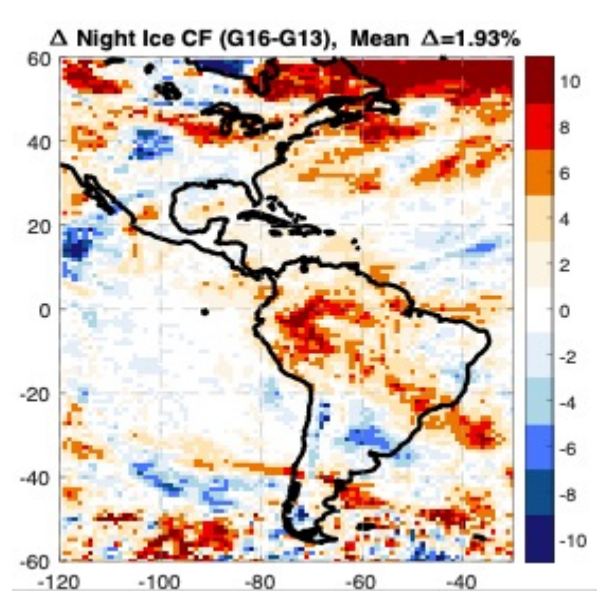
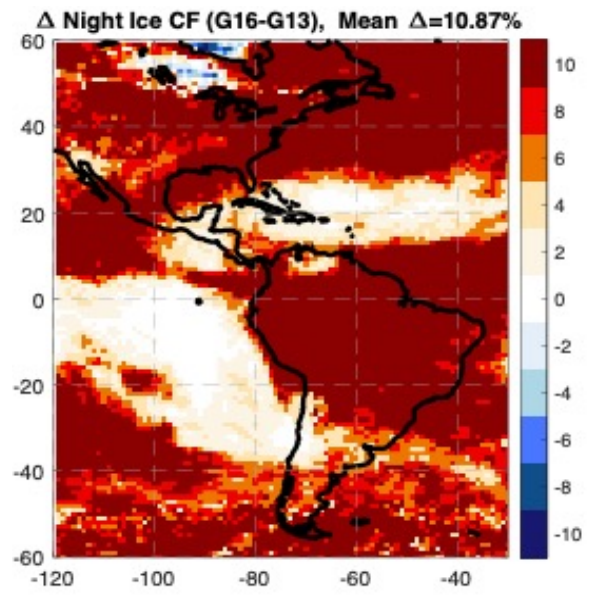
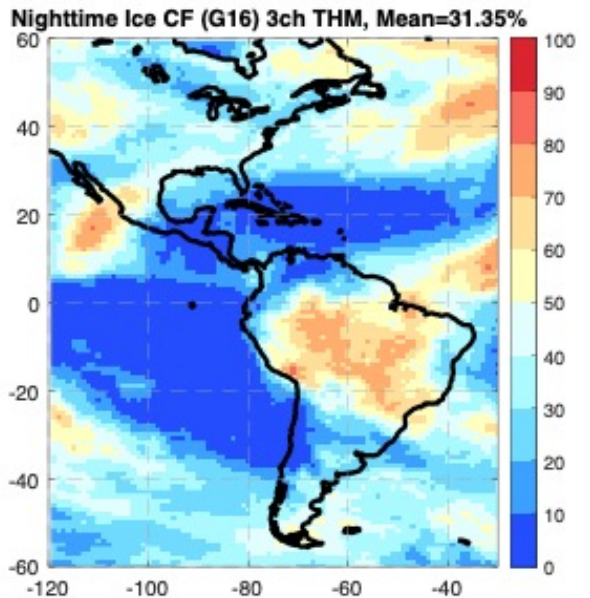
Nighttime Cloud Fraction by Phase

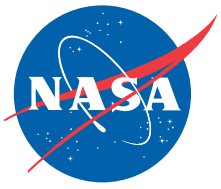


LIQUID



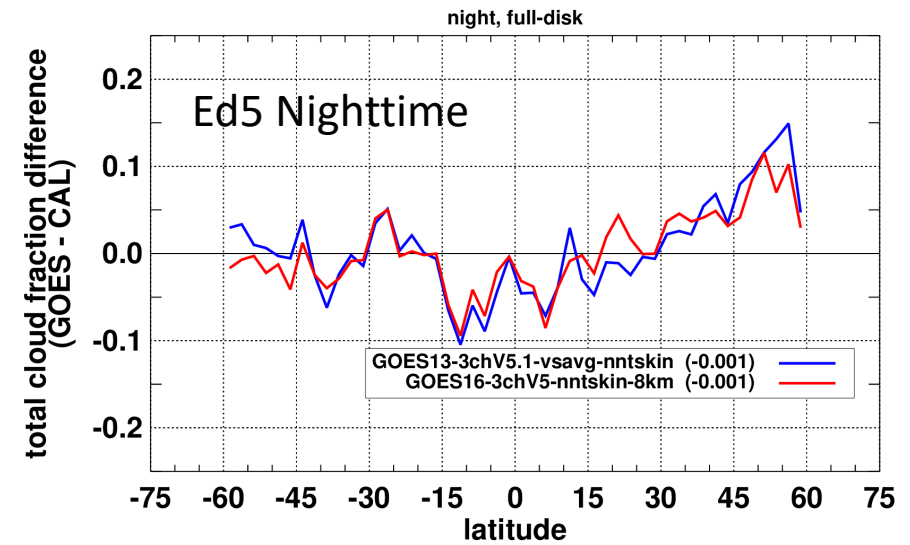
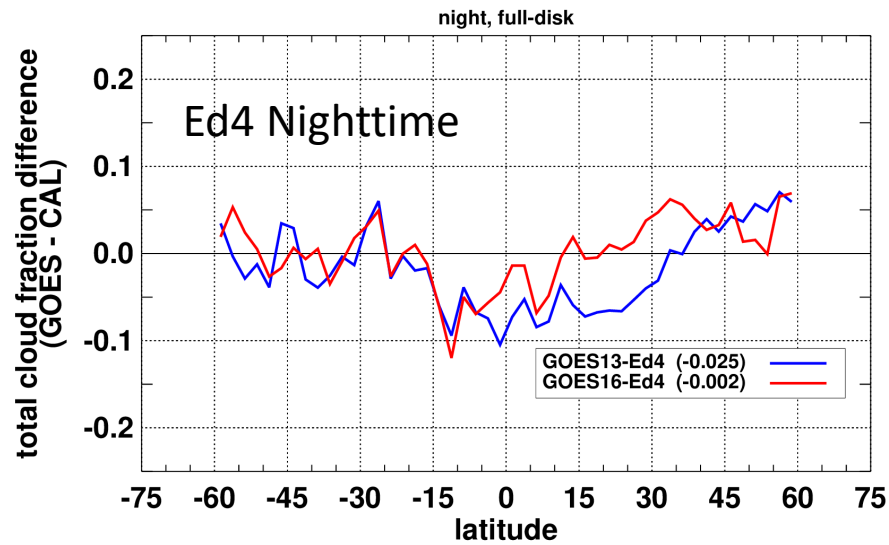
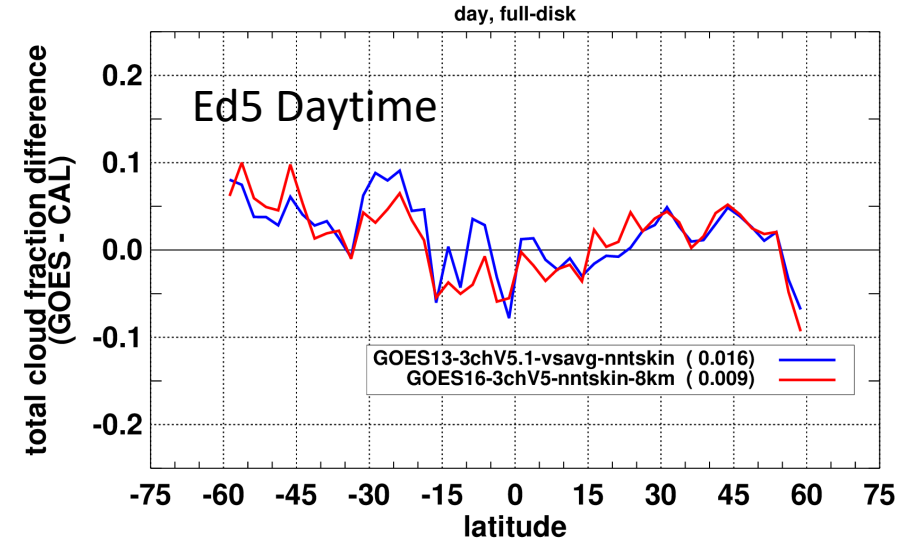
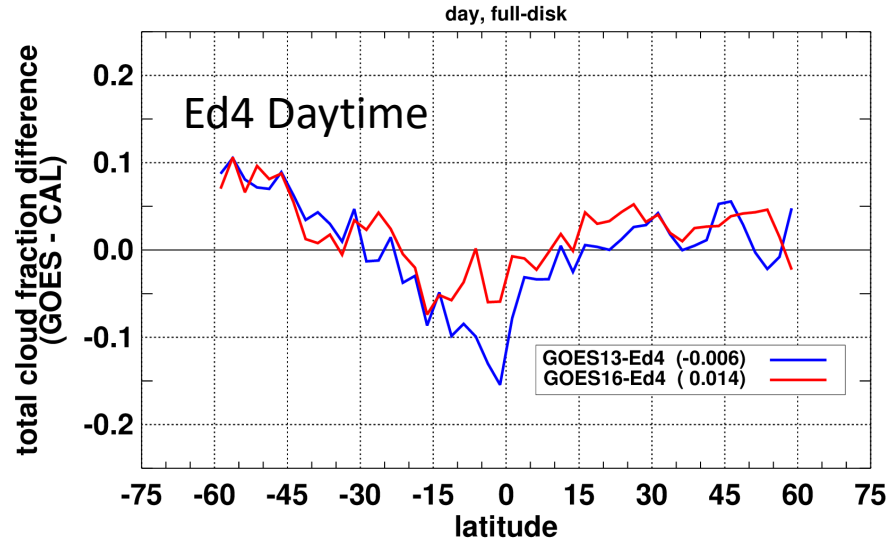
ICE



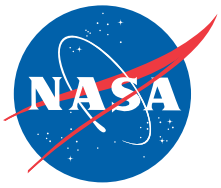


GOES-13/GOES-16 Consistency vs CALIOP

December 2017



Ed5 more consistent than Ed4; Ed5 cloud mask not yet tuned for accuracy



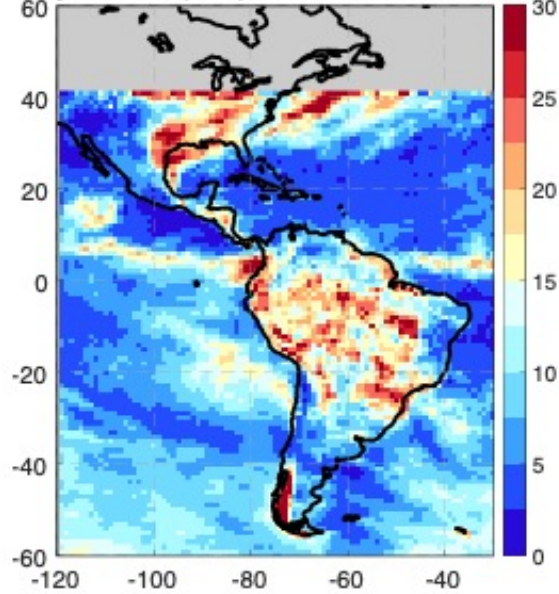
Optical depth and height for samples with $\Delta CF < 2\%$



Tau
Day

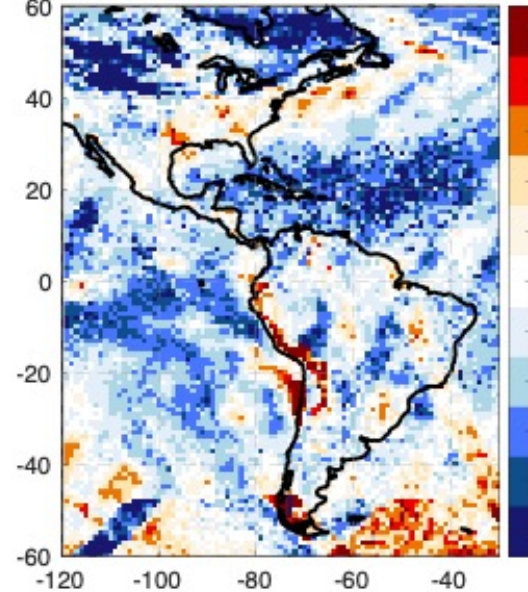
Mean GOES-16 (3ch)

Daytime tau (G16), 3ch THM Mean=9.34



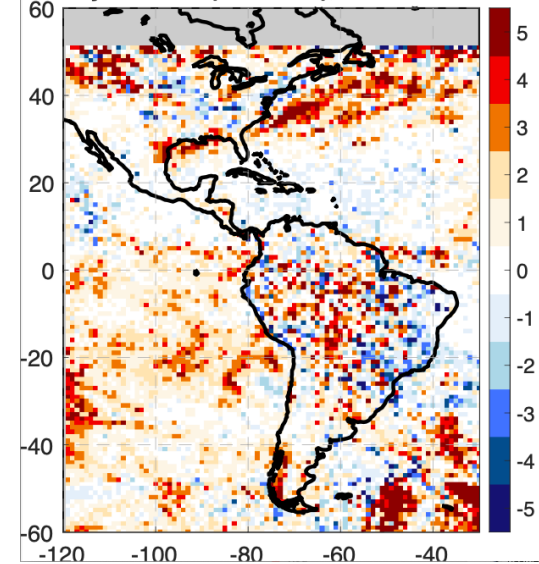
G16 – G13 (Ed4)

Δ Daytime tau (G16-G13), Mean $\Delta = -1.28$



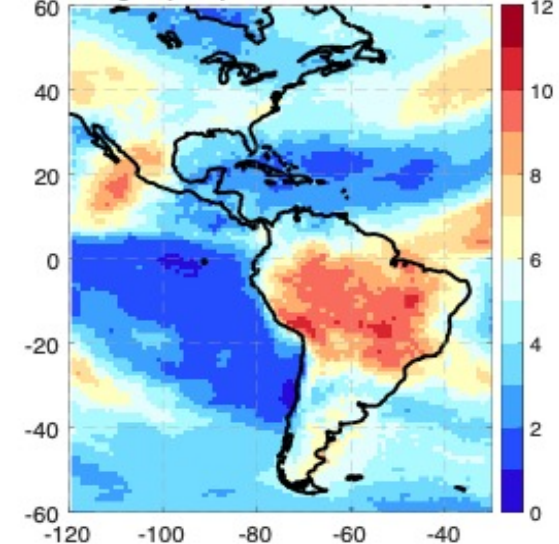
G16 – G13 (Ed5)

Δ Daytime tau (G16-G13), Mean $\Delta = 0.89$

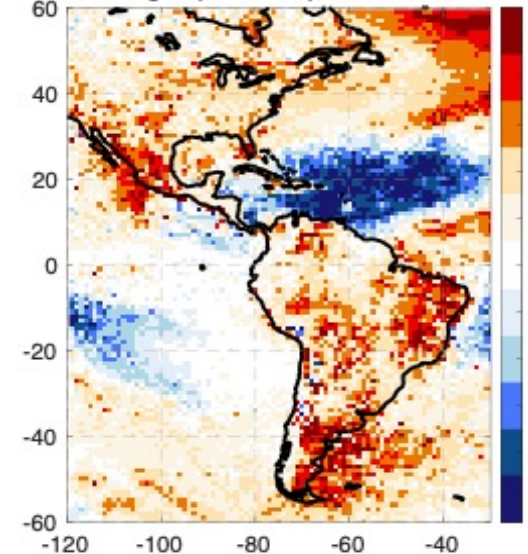


Cloud
Height
Day/Nite

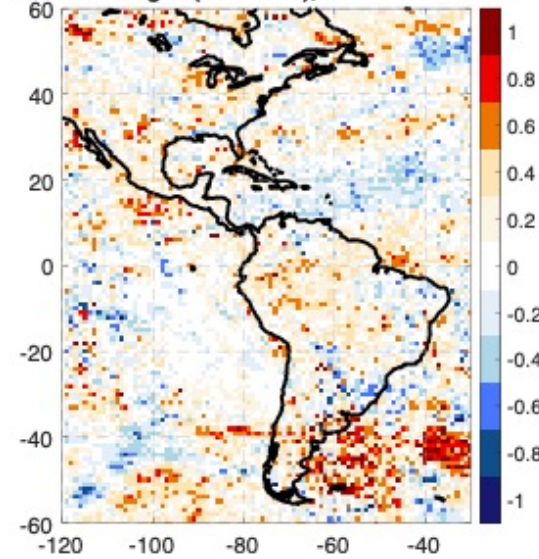
Total Height (G16) 3ch THM, Mean=4.48 km

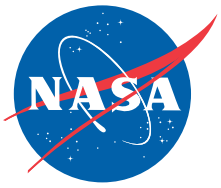


Δ Total Height (G16-G13), Mean $\Delta = 0.21$ km



Δ Total Height (G16-G13), Mean $\Delta = 0.1$ km



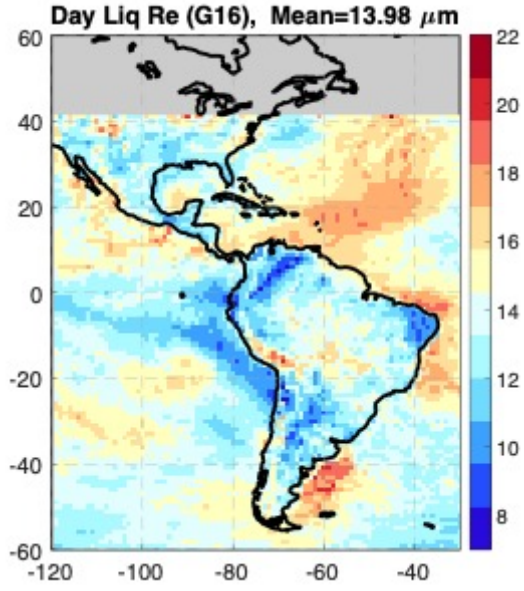


Particle size for samples with $\Delta CF < 2\%$

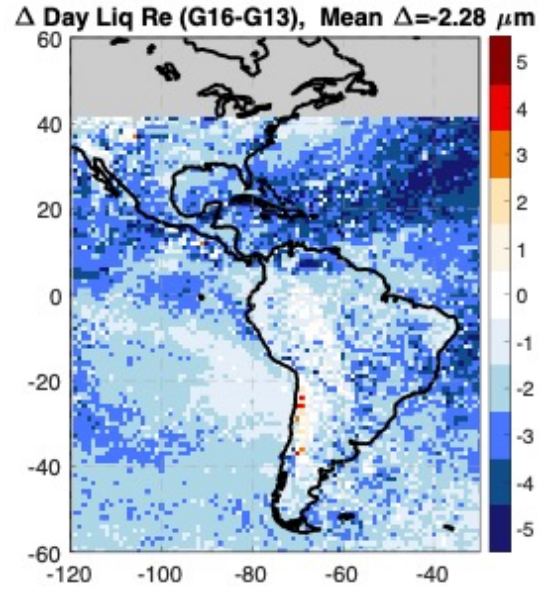


LIQUID

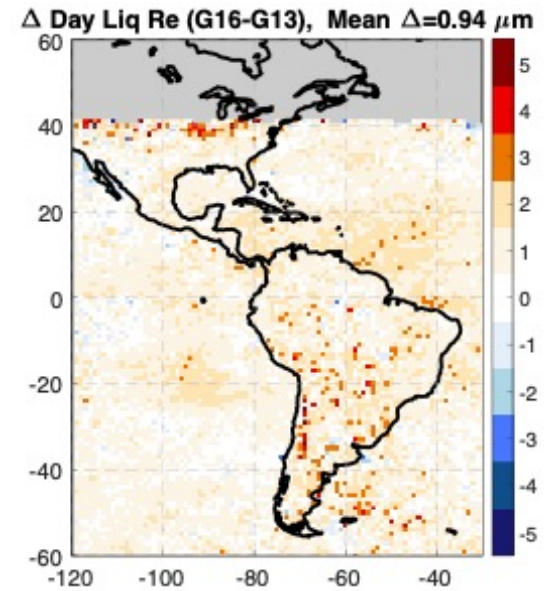
Mean GOES-16 (3ch)



G16 – G13 (Ed4)

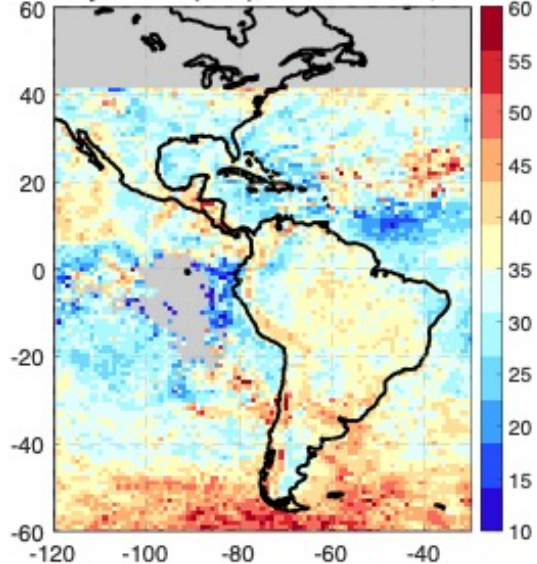


G16 – G13 (Ed5)

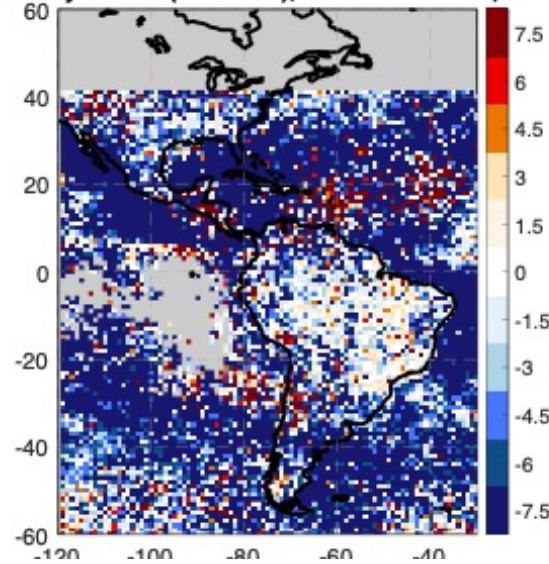


ICE

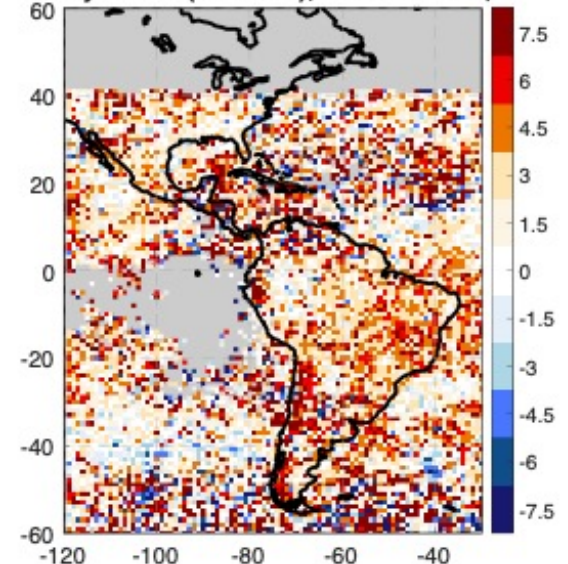
Day Ice Re (G16), Mean=35.04 μm



Δ Day Ice Re (G16-G13), Mean Δ =-7.85 μm



Δ Day Ice Re (G16-G13), Mean Δ =2.6 μm

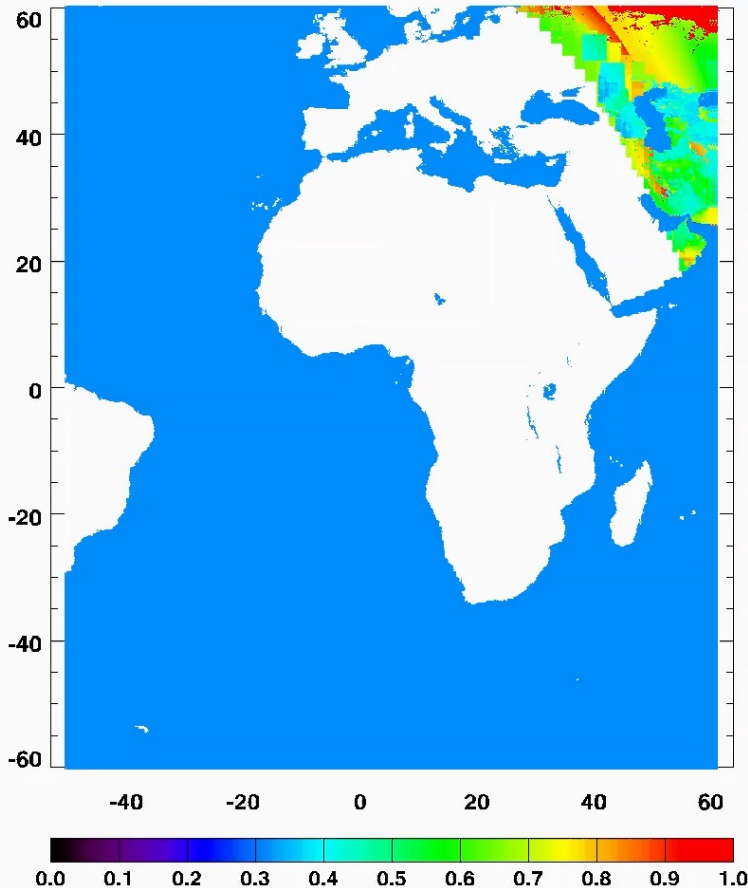


Clear-Sky Reflectance Update for 2-channel Satellites

- Ed4 overhead albedo maps from ISCCP and anisotropic models inadequate for Met-5, Met-7, and GMS-5
- Land: monthly hourly composites created for Ed5 using two years of data
- Marked improvement compared to the Ed4 method

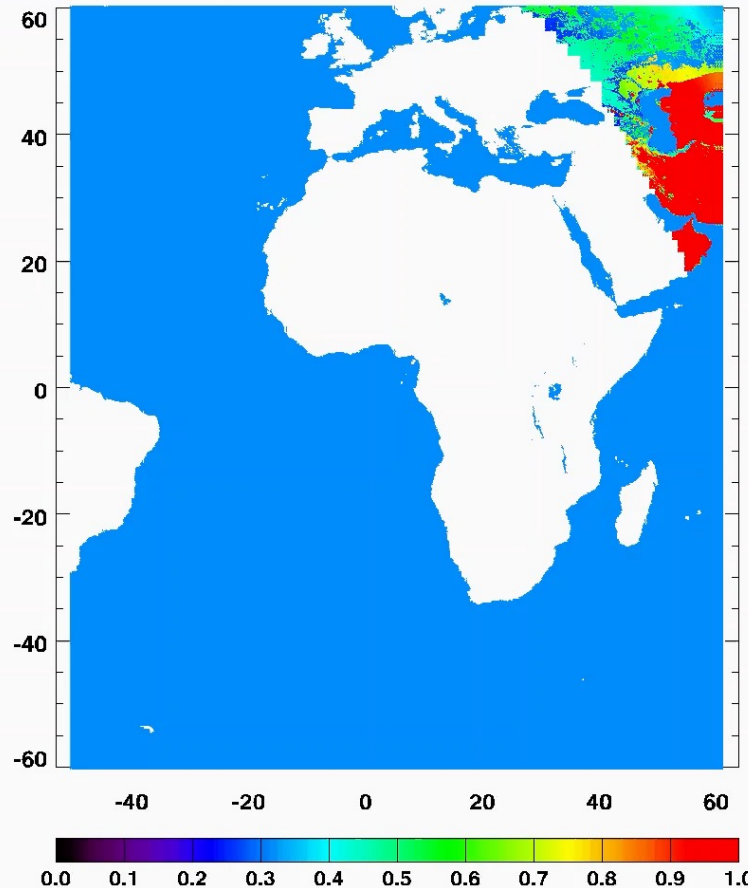
Ed4

July Average 0.65 um Clear-Sky Reflectance 02 UTC



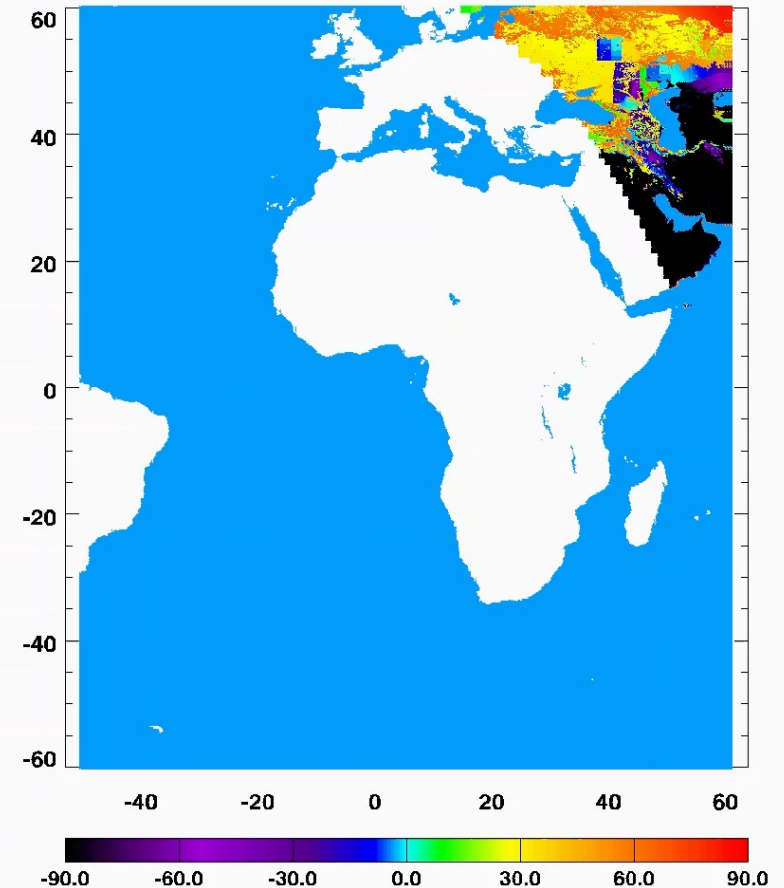
Ed5

July CERES 0.65 um Clear-Sky Reflectance 02 UTC



Ed5 minus Ed4

July Observed-CERES Clear-Sky Refl % Diff 02 UTC

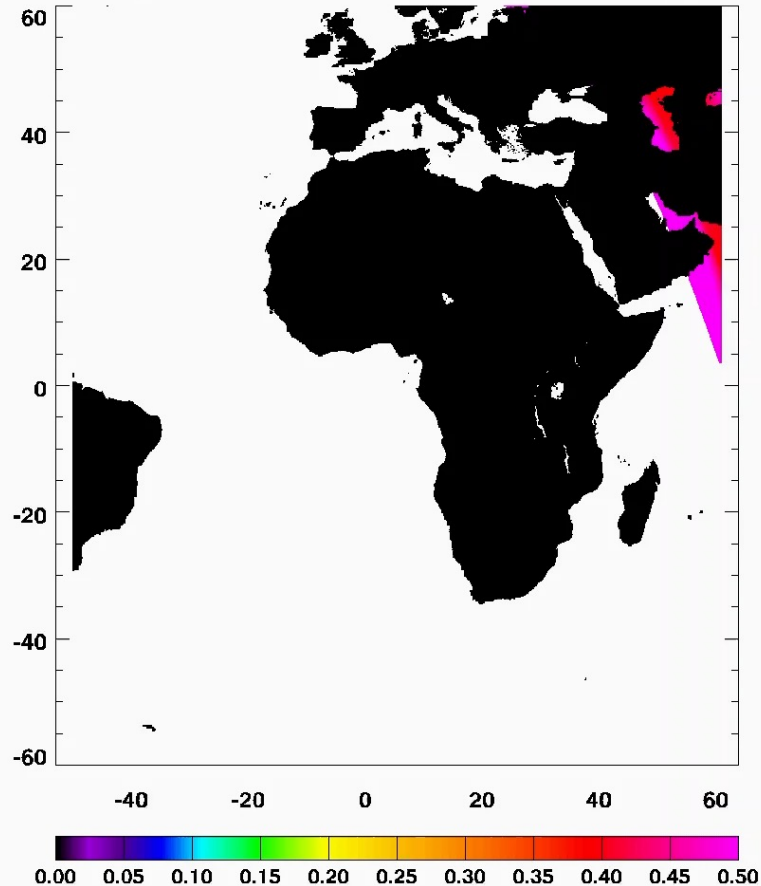


Clear-Sky Reflectance Update for 2-channel Satellites

- Ed4 OA maps w/ fixed ocean value and VIRS bidirectional model inadequate for Met-5, Met-7, and GMS-5
- Ocean: Jin theoretical ocean reflectance model updated for SRF's for the 2-ch satellites
- Marked improvement compared to the Ed4 method

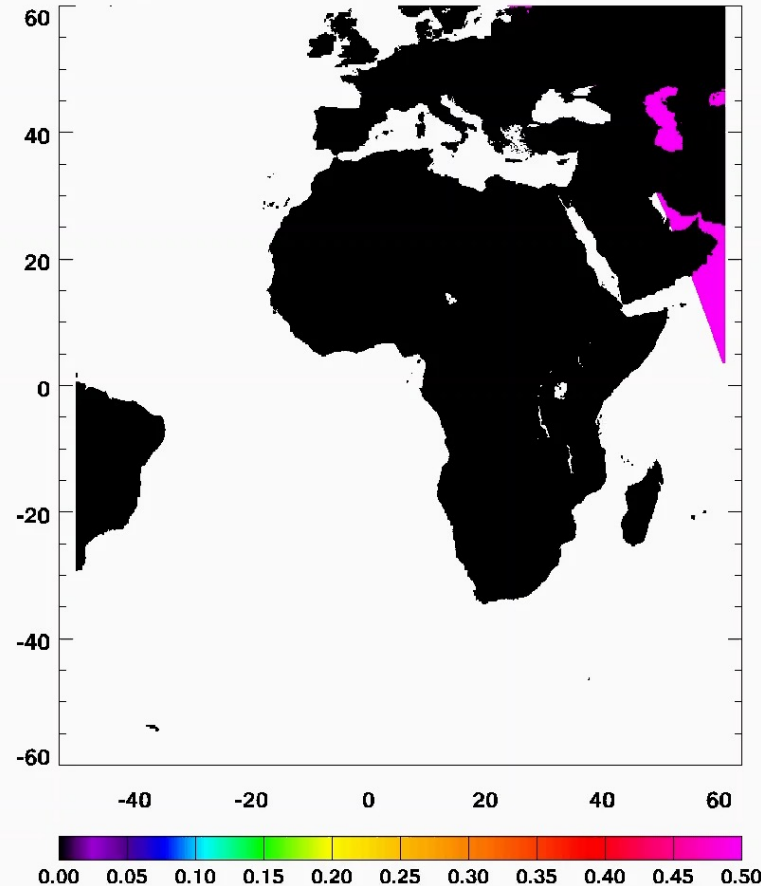
Ed4

July 2-channel GEO Edition-4 CSREF 02 UTC



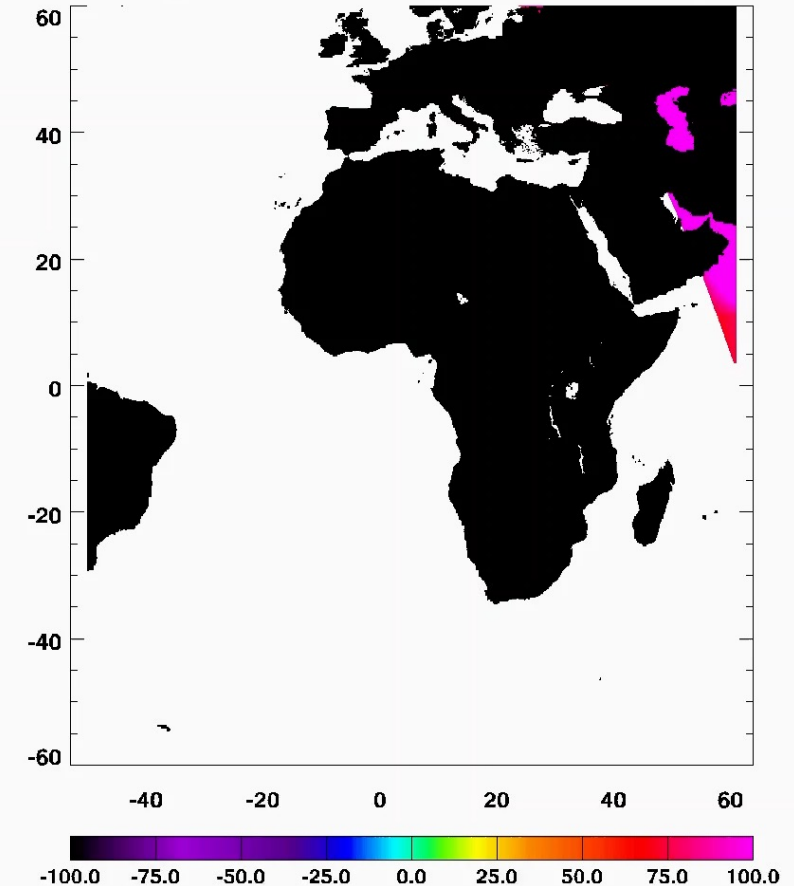
Ed5

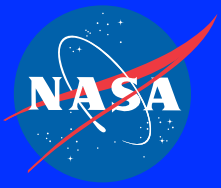
July 2-channel GEO Edition-5 CSREF 02 UTC



Ed5 minus Ed4

July Ed5-Ed4 Clear-Sky Refl % Diff 02 UTC

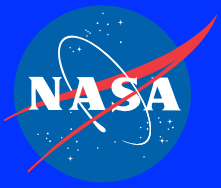




Summary



- The continuity algorithms for Ed5 clouds are progressing well.
- Revised satellite specific atmospheric correction procedures, more accurate clear-sky radiance procedures, updated cloud models, improved ancillary datasets, and cloud mask updates that better account for temporal and regional variations in WV absorption are leading to more consistent cloud properties from MODIS and VIIRS and also among GEOsats than previously achieved (demonstrated over ocean).
- Some next steps include
 - Further refinements to the ocean cloud mask
 - Incorporating a neural net applied to GEOS-IT data to better define LST's to support cloud mask refinements over land
 - Incorporating a neural net applied to GEOS-IT data to better detect clouds during polar night.
 - Implementing and testing new cloud top height and phase methods
 - Tuning for accuracy using CALIPSO and other data

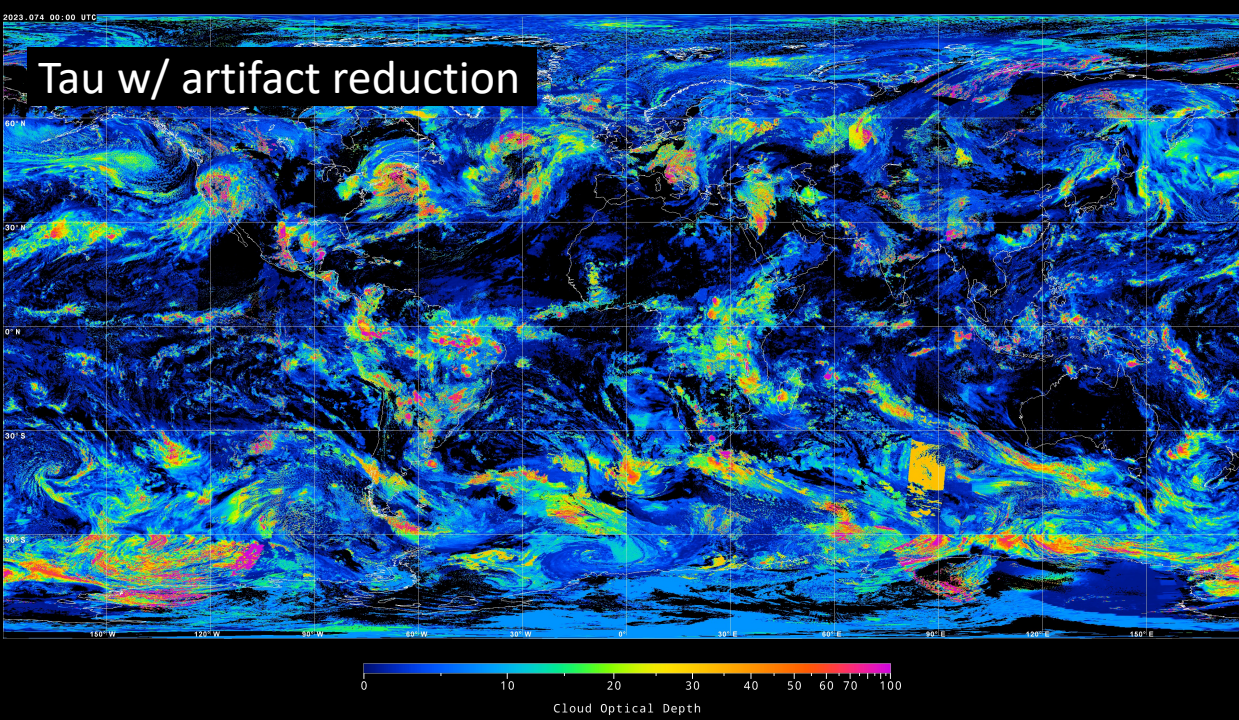
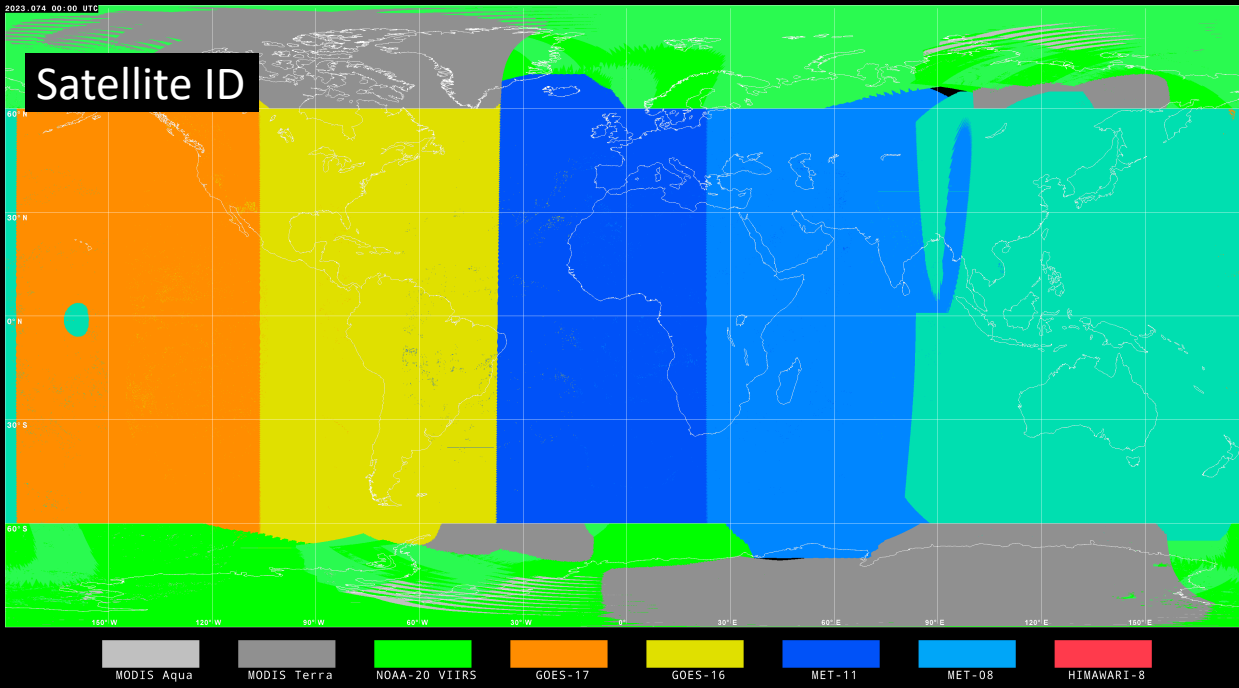


New SatCORPS Capabilities



The **S**atellite **C**loud and **R**adiative **P**roperty retrieval **S**ystem

- The SatCORPS is an activity that has evolved synergistically with CERES.
- CERES cloud algorithms adapted to operate with low-latency to produce datasets for weather community (e.g. NCEP) and to support NASA field campaigns.
- Produce historical and long-term datasets to support needs from other agencies (e.g. DOE ARM program).
- Useful testbed for validating cloud algorithm features used in CERES.
- Processing framework, website (<https://satcorps.larc.nasa.gov/indexV2.html>), data dissemination and visualization services are in the process of being significantly upgraded.
- Several new capabilities recently installed.



Global Cloud Composites (GCC) from Satellites

Objective: Optimally combines radiances and derived products (cloud properties and radiative fluxes) from multiple GEO and LEO satellite imagers as seamlessly as possible

Based on system developed for DSCOVr ERB project (Khlopenkov et al., 2017, SPIE)

- Daytime only, 5-km grid, 1-2 hourly, limited set of radiances and basic cloud parameters

New system is intended for the broader community

- Partially funded by NASA SNWG to produce a multi-year, hourly dataset to serve modeling needs related to cloud parameterizations
- Day & Night, 3-km grid, 30-60 minutes
- Incorporates many CERES Ed5 cloud algorithm enhancements to improve accuracies, cross-platform consistency, and reduce artifacts (e.g. sunglint, terminator)

System runs operationally to support various low latency (e.g. FlashFlux?) and NRT applications ³²

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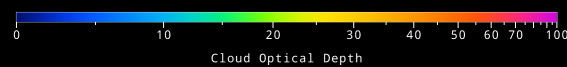
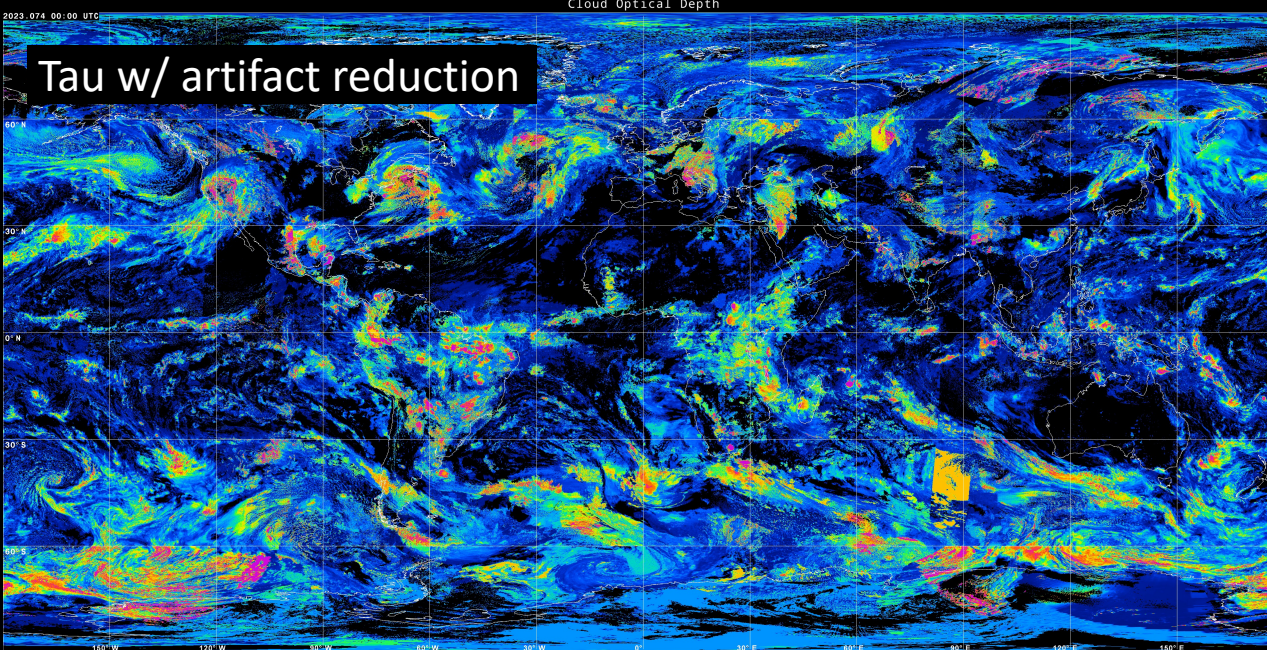
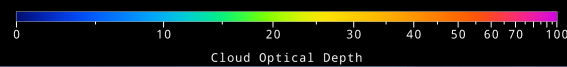
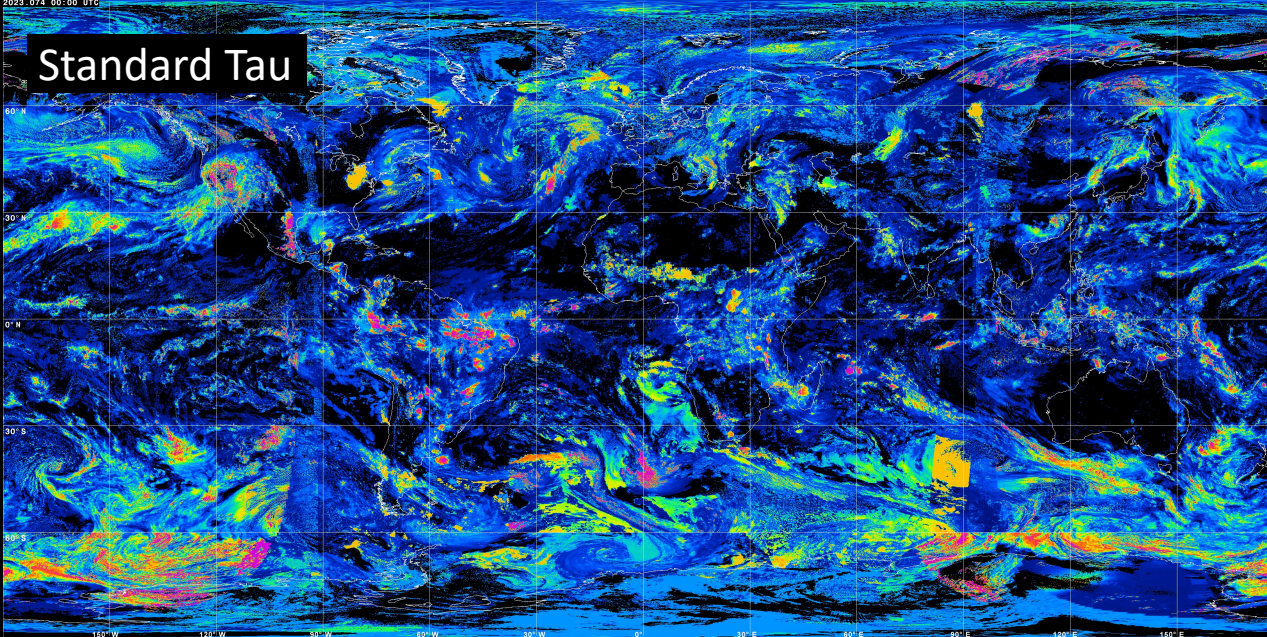
Based on system developed for DSCOVR ERB project (Khlopenkov et al., 2017, SPIE)

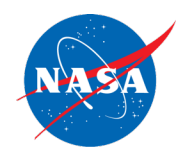
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System runs operationally to support various low latency (e.g. FlashFlux?) and NRT applications ³³

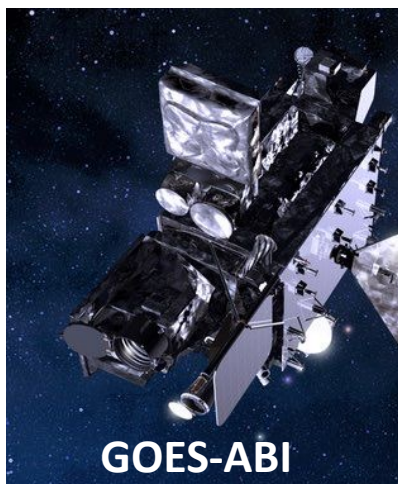
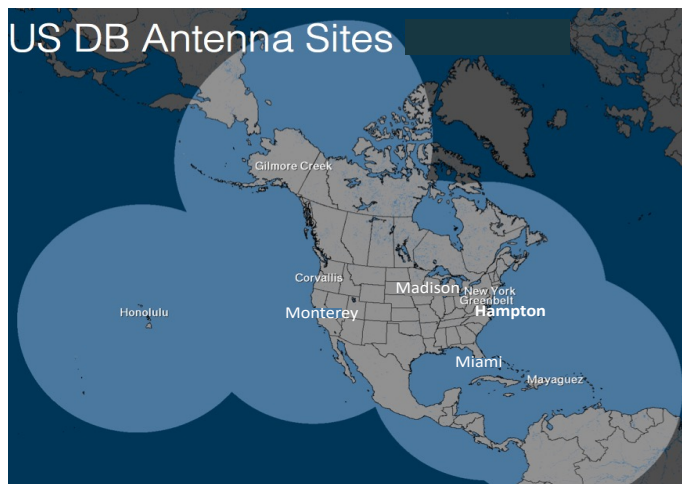




Enhancing the SatCORPS with Satellite Sounding Capabilities

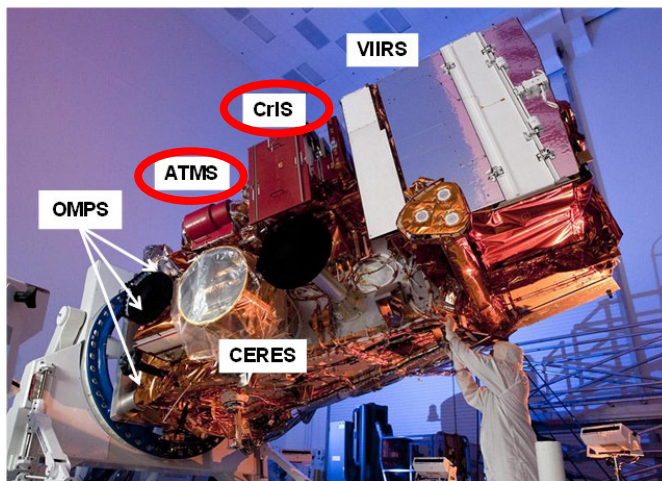
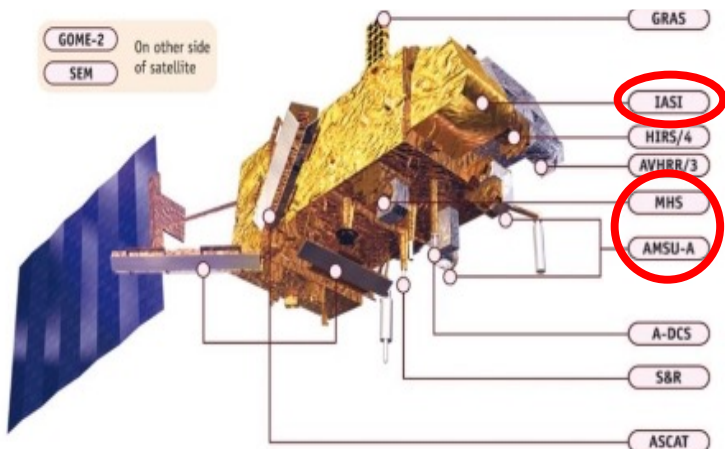
SatCORPS collaboration with William Smith Sr., Qi Zhang (UW) & **Anthony DiNorscia (SSAI)**

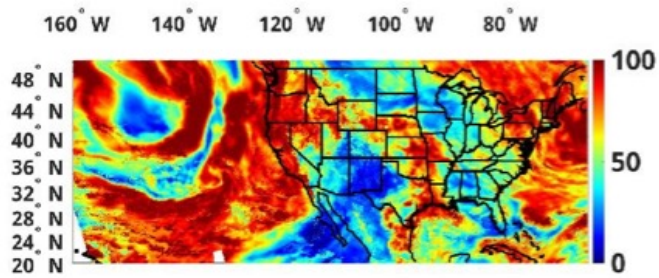
Creating next-gen high-res (2km /30min) GEO/LEO “hyperspectral” sounding proxy data via the fusion of current polar and geostationary satellite measurements



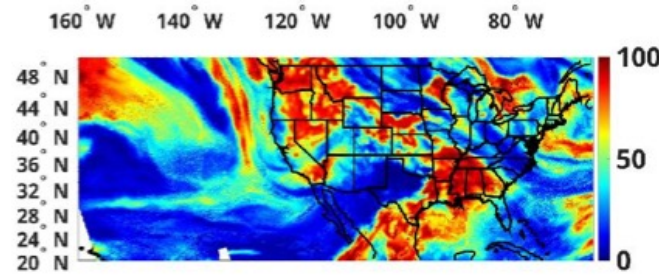
Data System Characteristics

- Full Spectral Resolution Used
- Full Spatial Resolution Used
- Polar Hyperspectral clear soundings above cloud & MW soundings below cloud are retrieved with 2-km spatial and 30-minute temporal resolution
- Soundings assimilated into 3-km Res. NWP (HRRR) Model
- Continuous Humidity data assimilation used to diagnose winds and dynamics
- 0-to-12-hour forecast cycle conducted every hour

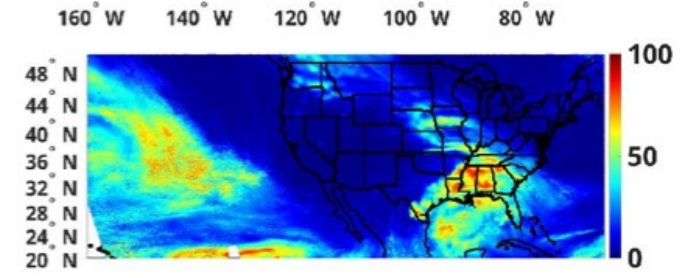




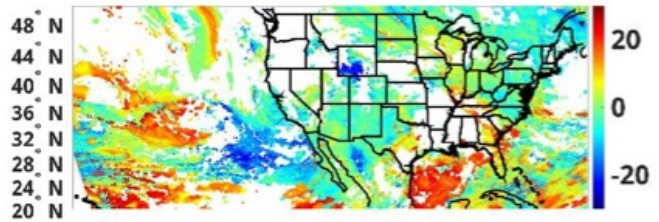
SAT+RAP 850 hPa RH (Percent)



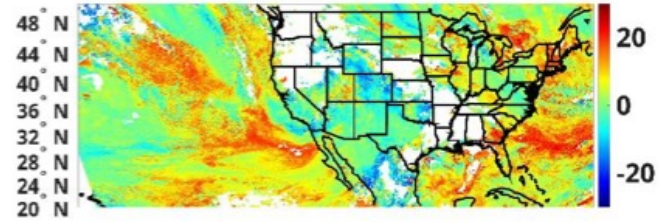
SAT+RAP 500 hPa RH (Percent)



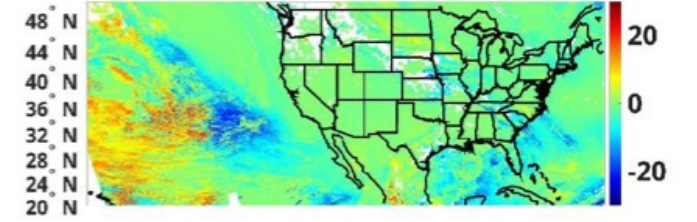
SAT+RAP 200 hPa RH (Percent)



SAT-RAP 850 hPa RH (Percent)

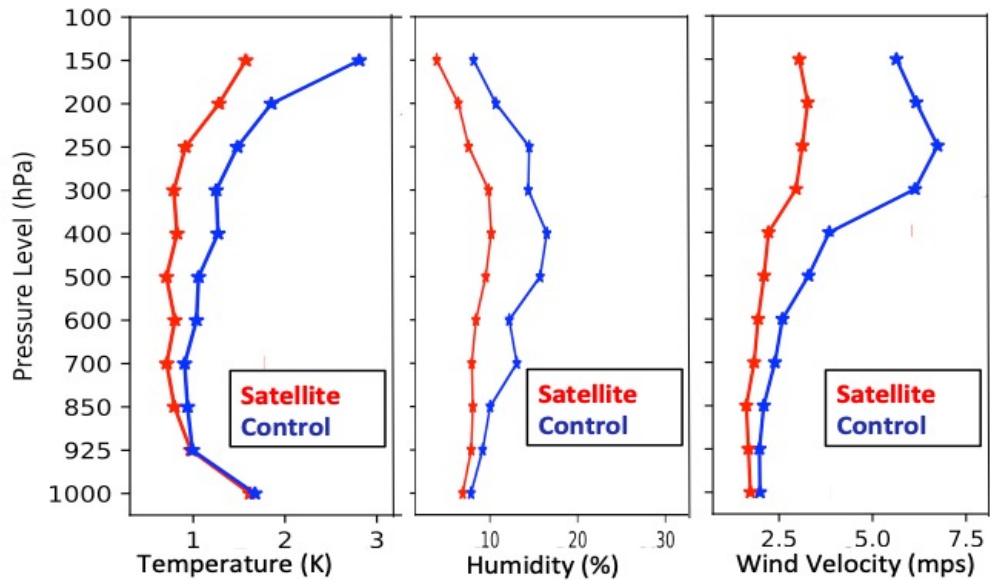


SAT-RAP 500 hPa RH (Percent)



SAT-RAP 200 hPa RH (Percent)

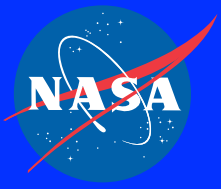
Standard Deviations Between Radiosondes and 6-hr Forecasts (Feb/Mar 2021)



- **Satellite sounding fusion data dramatically improve definition/prediction of atmospheric thermodynamics and winds**
- **CERES CWG plans to explore these high-resolution data for understanding/correcting RH biases in reanalyses to improve clear sky radiance simulations for the cloud mask.**

Datasets, visualizations, validation tools:

<https://satcorps.larc.nasa.gov/cgi-bin/site/showdoc?mnemonic=phs>
<https://www.ssec.wisc.edu/hufusion/>



QUESTIONS ?