Diagnosing the Earth’s Energy Budget with Multiple Datasets and Closure Constraints

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NCAR
Energy on Earth: Background

Radiation is the dominant external influence on Earth.

Incoming solar radiation is unevenly distributed due to the geometry of the Earth-Sun system and Earth’s rotation.

\[ OLR \] is more spatially uniform than absorbed solar radiation (\[ ASR \]) - *its gradients interact strongly with dynamics.*

\[ ASR \] gradient is also influenced strongly by albedo - *determined to first order by cloud cover and surface properties.*
Energy on Earth: Motivations

What is the net TOA radiation ($R_T$)?
Where does the energy go?

How does the system manage the TOA imbalance? How does it get from where it enters the system to where it exits?

How much is stored, where, with what annual cycle?
How/where does energy leave the Earth?
What are the ocean->land transports and what balances exist over these regions?

What are the uncertainties and where are they largest?
ASR is transformed into various forms moved around in various ways primarily by the atmosphere and oceans, stored and sequestered in the ocean, land, and ice components of the climate system, and ultimately radiated as OLR.

An equilibrium, \( ASR = OLR \). The associated flows both drive and are modulated by the weather systems in the atmosphere, currents in the ocean, and thus fundamentally determine the climate.

And they can be perturbed with climate change.
Contrasts in the meridional distribution of radiation at TOA drive atmospheric and oceanic dynamics.

To first order the TOA budget in $R_T$ is zonally symmetric.

Do the energy budgets of the ocean and atmosphere share this symmetry?

How do they partition $R_T$?

What relative roles do storage and divergence play in the annual cycle?

What form does ocean divergence take?
DATA: Energy Fluxes

• TOA (tuned)
  – ERBE and CERES retrievals

• Atmosphere (mass corrected)
  – NCEP/NCAR (NRA) and ERA(-40) Reanalyses

• Land (simulated)
  – Community Land Model

• Ocean
  – World Ocean Atlas 2005 (Levitus et al.)
  – Japanese Met Agency Ocean Analysis (Ishii et al.)
  – Global Ocean Data Assimilation System (NCEP)
Residual Analysis Methods

• Net surface flux is inferred from TOA and atmospheric budgets per:
  \[ F_S = \nabla \cdot F_A - \partial A_E / \partial t - R_T \]
  (over large scales, errors cancel)

• Net ocean energy divergence can be inferred from residual of surface and ocean budgets per:
  \[ \nabla \cdot F_O + F_S + \partial O_E / \partial t = 0 \]
  \[ O_E = \int T(z) C_w \, dz \]

• Ocean to land energy transport can be calculated directly from reanalyses or inferred from satellite for annual means (as land tendency is small) per:
  \[ F_A (\text{ocean} \rightarrow \text{land}) = R_T (\text{land}) + \frac{\partial A_E (\text{land})}{\partial t} \]
TOA Fluxes

• ERBE (Feb 1985 - Apr 1989)
  – 3 satellite configuration with 2 polar orbiting satellites and ERBS with a 72-day precessing orbit covering 60°N-60°S
  – Failure of NOAA-9 (afternoon crossing) in Feb 1987 left only a morning orbiter and imparted significant discontinuities to the ERBE fluxes

• CERES (Mar 2000-present)
  – Terra: single polar orbiting satellite supplemented with Aqua in Jul 2002 - here we use only the FM1 and FM2 retrievals. [TOASRB MODIS Edition2D Rev1]
ERBE Tuning

• Unadjusted, ERBE fields depict global mean $R_T$ of several W m$^{-2}$ which is unrealistic given $\partial O_E / \partial t$ (~0.0 PW, Levitus 2005)

• In Trenberth (1997) adjustments to albedo were made to address this imbalance and the discontinuity in $OLR$ and $R_T$ due to the loss of NOAA-9.

• But… we now find that $OLR$ adjustment must distinguish between land and ocean due to their distinct diurnal cycles…

• We use ERBS as a guide.
ERBE Tuning

The spurious negative trend in implied ocean to land energy transport is addressed in our revised tuning.

This also has the beneficial effect of yielding a more consistent OLR record with ERBS.
CERES Tuning

- Unadjusted, CERES fields depict a net TOA flux of \(\sim 6.4 \text{ W m}^2\) which is unrealistic given \(\partial O_E / \partial t\) during CERES \(\sim 0.5 \text{ PW}\) (e.g. Willis et al. 2003).

- Estimates of the error sources suggest that *multiple small error sources combine constructively* to yield a bias in the reported imbalance and that both longwave and shortwave budgets require adjustment (Wielicki et al. 2006).
### Global $R_T$ Error Budget: Wielicki et al. (2006)

<table>
<thead>
<tr>
<th>Error Source (W m⁻²)</th>
<th>SW</th>
<th>LW</th>
<th>Net</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Solar Irradiance (1361 vs 1365)</td>
<td>+1.0</td>
<td>0.0</td>
<td>+1.0</td>
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<tr>
<td>Absolute Calibration</td>
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<td>1.0</td>
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<td>Spectral Correction</td>
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<td>0.3</td>
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<tr>
<td>Spatial Sampling</td>
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<td>&lt;0.1</td>
<td>&lt;0.1</td>
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<tr>
<td>Angle Sampling</td>
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<td>+0.1</td>
</tr>
<tr>
<td>Time Sampling (diurnal)</td>
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<td>&lt;0.2</td>
<td>&lt;0.2</td>
</tr>
<tr>
<td>Reference Altitude (20 km)</td>
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<td>0.2</td>
<td>0.3</td>
</tr>
<tr>
<td>Twilight SW Flux (-0.25 Wm⁻²)</td>
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<td>0.0</td>
<td>&lt;0.1</td>
</tr>
<tr>
<td>Near Terminator SW Flux</td>
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<td>0.0</td>
<td>+0.7</td>
</tr>
<tr>
<td>3-D Cloud Optical Depth bias</td>
<td>+0.7</td>
<td>0.0</td>
<td>+0.7</td>
</tr>
<tr>
<td>CERES SRBAVG Ed2D $R_T$</td>
<td></td>
<td></td>
<td>6.4</td>
</tr>
</tbody>
</table>
CERES Science
Apr 2007 Newport News

Mean Fluxes ± 2σ : Best Estimate
[PW]

**FM1-tuned**

**OCEAN**
- 129.3±0.0 SI
- 92.7±0.2 ASR
- 0.4±0.2 FS

**LAND**
- 174.5±0.0 SI
- 122.4±0.2 ASR
- 29.7±0.0 ASR
- 2.2±0.1 RT
- 0.0±0.0 FS

**Hansen et al. 2005**

**Huang 2006**

CERES period March 2000 to May 2004
Zonal-Annual Mean

- $R_T$ matches our idealized view - Large ERBE-CERES differences in the Tropics (Wong et al. 2006)

- $F_S$ (ocean) balances $R_T$ only in the deep Tropics. It is the atmosphere that mainly balances $R_T$ poleward of 10°

- $R_T \sim \nabla \cdot F_A$ poleward of 40° where mean ocean transport is very small
Annual Cycle of $R_T$ (FM1 vs FM2)

Mean Annual Cycle of CERES FM1 Net TOA Flux

(Feb 1985 - Apr 1989, stippling/hatching at +/- 10 W sq m vs FM2)

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Mean annual cycles of albedo and $R_T$

Global

Global-ocean

Global-land

where shading is ±2$\sigma$
ASR, OLR, and $R_T$

Global

Global-ocean

Global-land

where shading is $\pm 2\sigma$
Zonal Mean Budgets and Balances: Global

First order balance is between $R_T$ and $F_S$.

Globally, the atmosphere plays the role of moderating the impact of $R_T$ on $F_S$. 
Zonal Mean Budgets and Balances: Ocean

Balance over ocean is again between $R_T$ and $F_S$.

…but…the atmosphere’s impact on $F_S$ is mixed.
Zonal Mean Budgets and Balances: Land

Balance over land is between $R_T$ and $\nabla \cdot \mathbf{F}_A$, not $F_S$, which is small.
Mean Annual Cycle of Atmospheric Energy Tendency
(Feb 1985 - Apr 1989, stippling/hatching at +/- 10 W sq m vs ERA-40)

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Annual Cycle of Atmospheric Divergence

Mean Annual Cycle of Atmospheric Energy Divergence

(Feb 1985 - Apr 1989, stippling/hatching at +/- 75 W sq m vs ERA-40)

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\( \nabla \cdot F_A \) and \( \partial A_E / \partial t \)

Global

Global-ocean

Global-land

where shading is \( \pm 2\sigma \)
Mean Annual Cycle of Upward Surface Energy Flux
(Feb 1985 - Apr 1989, stippling/hatching at +/- 50 W sq m vs ERBE+ERA-40)

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Annual cycle of $F_S$

The presence of land in the NH augments the annual cycle of $R_T$ (via OLR) and $F_S$ over ocean (via ocean->land transport).
WOA, GODAS, JMA, and $F_S$ has been integrated in time to give $O_E$ (spread includes ERA estimate).

$O_E$ timeseries have been differenced to provide $dO_E/dt$.

**In situ data show an excessive annual cycle.**
Differences from GODAS exceeding ±2σ over southern oceans - regions where few obs exist

Departures from annual mean
Annual Cycle of $\partial O_E/\partial t$

Mean Annual Cycle of Ocean Energy Tendency

(Climatology, stippling/hatching at +/- 75 W sq m vs GODAS during ERBE)

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Annual Cycle of $\nabla \cdot F_0$

Mean Annual Cycle of Ocean Energy Divergence
(from NRA, ERA, WOA, GODAS, JMA, CERES, and ERBE
stippling/hatching for stddev(9 estimates) > 1 +/- 50 W sq m)

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Poleward Transports

a) Total Transport ERBE (vs Multiple Estimates) [PW]

b) Atmosphere NRA (vs ERA, NRA CERES Period) [PW]

c) Ocean ERBE+NRA+GODAS (vs Multiple Estimates) [PW]

d) Annual-Zonal Means

Ocean

Total

Atm
Ocean Transports

- Annual cycle
- Strongest in winter
- Limited in meridional extent
- >> annual mean

- Global Annual mean values are in close agreement with observations - in the Atlantic are somewhat larger than in our estimates - not clear which is correct - it is clear that some of the obs are wrong
Sources of Error in $\nabla \cdot F_0$

Each caption is the zonal mean standard deviation among the estimates.

Tropical and southern hemisphere changes in energy content dominate.
Net ocean to land energy transport

12-month running means for ERBE and CERES $R_T$ over land with NRA $\partial A_E/\partial t$
Conclusions (1)

The mean and annual cycle of the budgets have been quantified for both the ERBE and CERES periods.

Uncertainties associated with the limited span of observations have been estimated. The annual cycle is larger than the uncertainty in most terms.

The distinct natures of the balances between $R_T$, $\partial A_E/\partial t$, and $\nabla \cdot F_A$ for land and ocean regions are identified.

Compared with inferences from $F_S$, the annual cycle of $\partial O_E/\partial t$ based on in situ data is excessive. GODAS suggests that the largest errors in WOA and JMA exist in the SH.
Conclusions (2)

An observational estimate of the mean and seasonal cycle of ocean energy divergence has been presented.

Estimates of the poleward energy transport in the atmosphere and ocean have been derived. These estimates represent substantial refinement to previous estimates and are more in line with WOCE estimates.

An uncertainty analysis suggests that ocean temperature estimates exist as the largest uncertainty in the divergence calculation and for the energy budget as a whole.

Moreover, issues for broadening this analysis to interannual timescales are raised.
Future Work

• Use these results as a baseline for model evaluation (IPCC AR4 simulations)

• Eagerly awaiting TOASRB Edition 3 for improvements to the current analysis
The End
Appendix

• ERBE Adjustments continued

Original Adjustment
OLR'->OLR-2.6 W m\(^{-2}\), albedo uniformly increased so that \(R_T=0\)

Additional Adjustments
OLR over land is adjusted by +0.35 W m\(^{-2}\) (rather than decreased) and
OLR over ocean is kept at its original -2.6 W m\(^{-2}\) adjustment

These adjustments:
1) provide continuity in the ocean->land transport of energy
   across the NOAA-9 failure.
2) provide consistency with ERBS trend in OLR from 60°N
to 60°S across the NOAA-9 failure.

Albedo adjustments are then employed to yield zero annual mean \(R_T\) across the
full ERBE period.
Equations

\[ \text{TOA Budget} \]

\[ \text{Atmospheric Energy Flux} \]

\[ \text{Atmospheric Energy} \]

\[ \text{MSE=}(\text{DSE+Lq}) \]
Abstract 1

- The mean and annual cycle of energy flowing into the climate system and its storage, release, and transport in the atmosphere, ocean, and land surface are estimated with recent observations. An emphasis is placed on establishing internally consistent quantitative estimates with a full discussion and assessment of uncertainty. At the top-of-atmosphere (TOA), adjusted Earth Radiation Budget Experiment (ERBE) and Clouds and the Earth’s Radiant Energy System (CERES) satellite retrievals are used, while in the atmosphere National Center for Environmental Prediction/National Center for Atmospheric Research (NCEP/NCAR) and European Centre for Medium Range Weather Forecasts (ECMWF) reanalysis (ERA-40) estimates are used. The net upward surface flux ($F_S$) over ocean is derived from the residual of TOA and atmospheric budgets, and is compared with direct calculations of ocean heat content ($O_E$) and its tendency ($\delta O_E/\delta t$) from several ocean temperature datasets. Over land $F_S$ from a stand-alone simulation of the Community Land Model forced by observed fields is used. A comprehensive depiction of the budget based on ERBE fluxes from 1985 to 1989 and CERES fluxes from 2000 to 2004 is constructed that matches best estimates of the global, global-ocean, and global-land imbalances. In addition, the annual cycle of the energy budget during both periods is examined and compared with $\delta O_E/\delta t$.

- The near balance between net TOA radiation ($R_T$) and $F_S$ over ocean and thus with $O_E$, and between $R_T$ and atmospheric total energy divergence over land, are documented both in the mean and for the annual cycle. However, there is an annual mean transport of energy by the atmosphere from ocean to land regions of 2.2±0.1 PW ($10^{15}$ watts) primarily in the northern winter when the transport exceeds 5 PW. The global albedo is dominated by a semiannual cycle over the oceans, but combines with the large annual cycle in solar insolation to produce a peak in absorbed and net radiation in February, somewhat after the perihelion, and with the net radiation 4.3 PW higher than the annual mean, as it is enhanced by the annual cycle of outgoing long-wave radiation that is dominated by land regions. In situ estimates of the annual variation of $O_E$ are found to be unrealistically large. The analysis herein thus establishes a basis for further regional investigation of the energy budget in a companion manuscript and for subsequent model evaluation. Challenges in diagnosing interannual variability in the energy budget and its relationship to climate change are identified in the context of the episodic and inconsistent nature of observations.
Abstract 2

- Meridional structure of the annual cycle and mean energy budget of the climate system is evaluated with an internally consistent global observational record that best matches available estimates of the global, global-land, and global-ocean imbalances, with full discussion and assessment of uncertainty. The annual cycle and net meridional energy transports by the atmosphere and ocean are also estimated. At the top-of-atmosphere (TOA), Earth Radiation Budget Experiment (ERBE) and Clouds and Earth’s Radiant Energy System (CERES) satellite retrievals are used along with two global reanalysis datasets for the atmosphere. Several ocean temperature datasets are also used to assess changes in ocean heat content ($O_H$) and their relationship to the net upward surface flux ($F_S$) over ocean, which is derived from the residual of TOA and atmospheric energy budgets. The surface flux over land from a stand-alone simulation of the Community Land Model forced by observed fields is also used, and the contrasting characteristics of the budget over land and ocean regions are identified.

- In the extratropics, absorbed solar radiation ($ASR$) achieves a maximum in summer with peak values near the solstices. Outgoing longwave radiation ($OLR$) maxima also occur in summer but lag $ASR$ by 1 to 2 months, more consistent with temperature maxima over land. In the Tropics, however, $OLR$ relates to high cloud variations and peaks late in the dry monsoon season, while the $OLR$ minima in summer coincide with deep convection in the monsoon trough at the height of the rainy season. Most of the difference between the TOA radiation and atmospheric energy storage tendency is made up by a large heat flux into the ocean in summer and out of the ocean in winter. In the Northern Hemisphere, the transport of energy from ocean to land regions is substantial in winter, and modest in summer. In the Southern Hemisphere extratropics, land–ocean differences play only a small role and the main energy transport by the atmosphere and ocean is polewards. There is reasonably good agreement between $F_S$, as estimated as a residual from TOA radiation and atmospheric changes, with observed changes in $O_H$, except for south of 40°S, where differences among several ocean datasets point to that region as the main source of errors in achieving an overall energy balance. The winter hemisphere atmospheric circulation is identified as the dominant contributor to poleward transports outside of the Tropics (6 to 7 PW), with summer transports being relatively weak (~3 PW) – slightly more in the Southern Hemisphere and slightly less in the Northern Hemisphere. Ocean transports outside of the Tropics are found to be small (<2 PW) for all months. Strong cross equatorial heat transports in the ocean of up to 5 PW exhibit a large annual cycle, but one that is in phase with poleward atmospheric transports of the winter hemisphere.
Abstract 3

- Monthly net upwards surface energy fluxes ($F_S$) over the oceans are computed as residuals of the total energy budget of the atmosphere using top-of-atmosphere (TOA) net radiation ($R_T$) and the complete energy budget tendency and divergence for the atmosphere ($\nabla F_A$). The focus is on TOA radiation from Earth Radiation Budget Experiment (ERBE) (February 1985 to April 1989) and Clouds and the Earth Radiant Energy System (CERES) (March 2000 to May 2004) combined with results from two atmospheric reanalyses and three ocean datasets that enable a comprehensive estimate of uncertainties. An analysis of $F_S$ departures from the annual mean and the implied annual cycle in “equivalent ocean energy content” is compared with directly observed ocean energy content ($O_E$) and tendency ($\delta O_E/\delta t$) to reveal the inferred annual cycle of divergence of ocean energy transport ($\nabla F_O$). In the extratropics, $F_S$ dominates changes in $O_E$ although supplemented by ocean Ekman transports that enhance the annual cycle in $O_E$. In contrast, in the Tropics, and especially from about 5 to 15°N, ocean dynamics dominate $O_E$ variations throughout the year in association with the annual cycle in surface wind stress and the North Equatorial Current, and $F_S$ plays a smaller role in the upper ocean heat budget. An analysis of the regional characteristics of the first joint Empirical Orthogonal Function (EOF) of $F_S$, $\delta O_E/\delta t$, and $\nabla F_O$ is presented, and the largest sources of uncertainty are identified with ocean heat content estimates. The annual cycle of zonal mean global ocean transports is estimated from observations. Inferred annual mean ocean heat transports are somewhat lower than direct ocean estimates in the North Atlantic and thus for the zonal average global ocean, as there is reasonable agreement elsewhere. Although there are uncertainties in the atmospheric energy transports, there is not much scope for the ocean transports to be increased much as their sum is quite strongly constrained.